

CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

Springfield has completed its second year of implementation of the strategic plan it created in 2015. The City has met or exceeded its goals for many activities over both the FY17 program year and over the second year of the five year consolidated planning period.

During the 2015-2019 planning period, the City significantly advanced major initiatives with investment of CDBG and HOME funds.

See Attachment 2, CR-05 Goals and Outcomes

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Community Development	Non-Housing Community Development	CDBG: \$ / HOME: \$36730	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	45000	28000	62.22%	16000	101550	634.69%
Community Development	Non-Housing Community Development	CDBG: \$ / HOME: \$36730	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	100000	10944	10.94%	5240	8421	160.71%

Community Development	Non-Housing Community Development	CDBG: \$ / HOME: \$36730	Homeowner Housing Rehabilitated	Household Housing Unit	0	18		0	0	
Community Development	Non-Housing Community Development	CDBG: \$ / HOME: \$36730	Buildings Demolished	Buildings	50	19	38.00%	10	9	90.00%
Community Development	Non-Housing Community Development	CDBG: \$ / HOME: \$36730	Housing Code Enforcement/Foreclosed Property Care	Household Housing Unit	5000	56590	1,131.80%	1000	57800	5,780.00%
Community Development	Non-Housing Community Development	CDBG: \$ / HOME: \$36730	Other	Other	1945	48945	2,516.45%	414	39285	9,489.13%
Economic Development	Non-Housing Community Development Economic Development	CDBG: \$	Facade treatment/business building rehabilitation	Business	25	6	24.00%	9	3	33.33%
Economic Development	Non-Housing Community Development Economic Development	CDBG: \$	Jobs created/retained	Jobs	25	14	56.00%	39	8	20.51%
Economic Development	Non-Housing Community Development Economic Development	CDBG: \$	Businesses assisted	Businesses Assisted	50	18	36.00%	25	11	44.00%

Economic Development	Non-Housing Community Development Economic Development	CDBG: \$	Housing Code Enforcement/Foreclosed Property Care	Household Housing Unit	10	0	0.00%			
Economic Development	Non-Housing Community Development Economic Development	CDBG: \$	Other	Other	0	0		6000	0	0.00%
Homeless and Special Needs Population	Non-Homeless Special Needs	CDBG: \$182116 / HOPWA: \$ / HOME: \$ / ESG: \$	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	700	389	55.57%	50	209	418.00%
Homeless and Special Needs Population	Non-Homeless Special Needs	CDBG: \$182116 / HOPWA: \$ / HOME: \$ / ESG: \$	Homeless Person Overnight Shelter	Persons Assisted	5000	2351	47.02%			

Homeless and Special Needs Population	Non-Homeless Special Needs	CDBG: \$182116 / HOPWA: \$ / HOME: \$ / ESG: \$	Homelessness Prevention	Persons Assisted	1750	624	35.66%			
Homeless and Special Needs Population	Non-Homeless Special Needs	CDBG: \$182116 / HOPWA: \$ / HOME: \$ / ESG: \$	Housing for People with HIV/AIDS added	Household Housing Unit	0	0		114	0	0.00%
Homeless and Special Needs Population	Non-Homeless Special Needs	CDBG: \$182116 / HOPWA: \$ / HOME: \$ / ESG: \$	Other	Other	0	0		9000	1567	17.41%

Neighborhood Stabilization and Housing	Affordable Housing Public Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOME: \$	Rental units constructed	Household Housing Unit	2	0	0.00%			
Neighborhood Stabilization and Housing	Affordable Housing Public Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOME: \$	Rental units rehabilitated	Household Housing Unit	50	23	46.00%	35	0	0.00%
Neighborhood Stabilization and Housing	Affordable Housing Public Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOME: \$	Homeowner Housing Added	Household Housing Unit	7	4	57.14%	0	3	

Neighborhood Stabilization and Housing	Affordable Housing Public Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOME: \$	Homeowner Housing Rehabilitated	Household Housing Unit	100	55	55.00%	23	18	78.26%
Neighborhood Stabilization and Housing	Affordable Housing Public Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOME: \$	Direct Financial Assistance to Homebuyers	Households Assisted	400	157	39.25%	100	73	73.00%
Neighborhood Stabilization and Housing	Affordable Housing Public Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOME: \$	Other	Other	0	0		350	791	226.00%

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

The City relies on input received from the public in establishing its priorities and goals.

Springfield’s investment of CDBG funds is based on whether the activity falls within a City funding priority, whether the service is an eligible activity, and whether the service or need meets a national objective. In addition, the activity must meet one of the following CDBG objectives: (1) provide a suitable living environment; (2) provide decent housing; and (3) provide economic opportunity.

Resources are allocated to maximize the benefits to residents within target areas. While public infrastructure, parks, open space, and public facility type projects are exclusively within targeted areas, other strategic investments are made for the benefit of low- and moderate-income residents throughout the City. In order to meet high priority needs of the community such as job creation and economic development, it may be necessary to invest outside low/moderate census tracts.

HOME Investment Partnership Funds (HOME) are used to rehabilitate rental housing, directly assist homebuyers, provide tenant-based rental assistance, and produce and/or preserve affordable housing citywide.

Public investments of federal and other funds will be in direct response to priority needs that are detailed at length throughout the Consolidated Plan. The needs were identified through consultations with community stakeholders, input directly from residents, and assessment of relevant data and existing plans.

ESG and HOPWA funds are targeted to meet the identified needs of the eligible populations within the geographic area. For ESG, the service area is the City of Springfield. Investments are made in accordance with relative priority and statutory spending caps. HOPWA funds are allocated throughout the EMSA which includes the tri-county area. HOPWA funds are allocated primarily to alleviate the housing cost burden for eligible households.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

The people served by all programs were 39% Black, 35% Hispanic, and 2% Asian, while the overall City population is 22% Black, 42% Hispanic and 2% Asian.

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	CDBG	4,192,334	3,358,412
HOME	HOME	1,201,907	763,812
HOPWA	HOPWA	459,193	449,037
ESG	ESG	323,370	325,708
Other	Other		

Table 3 - Resources Made Available

Narrative

During FY 16-17, the Department of Housing and Urban Development (HUD) awarded the City of Springfield \$5,365,708.00 in entitlement funding. The City received \$3,492,334.00 through the CDBG program, \$1,099,907.00 through the HOME program, \$319,947.00 through the ESG program, and \$453,520.00 through the HOPWA program. Prior Year funds of \$659,096.00, as well as estimated program income totaling \$152,000.00 were also available. During the program year 83.37% of CDBG funds were used to benefit low- to moderate income persons. The majority of funding was allocated for activities classified as economic development, housing, public service, or public infrastructure and facilities. Details of the services, programs and accomplishments are detailed through the CAPER.

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
Local Target Area	70	78	
North End/Metro Center	30	22	

Table 4 – Identify the geographic distribution and location of investments

Narrative

The City of Springfield continues its focus on fundamentally changing the urban neighborhoods that are located within the Neighborhood Revitalization Strategy Area (NRSA). These communities are home to some of the City's poorest residents and have a wealth of diversity. The residents, business owners, and key stakeholders within the NRSA are dynamic, diverse, and eager for positive change and new investment. The City's goals for the two NRSA neighborhoods are to attract and retain businesses, increase diversity, improve opportunities, increase public safety, improve physical appearance of neighborhood, assist homeowners to preserve their housing, improve neighborhood facilities, and improve appearance and appeal of existing apartment buildings.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

During FY 16-17 the City of Springfield attracted and utilized significant non-entitlement funds. The sources of these funds include other federal grants, local and state bonds, resources from numerous State agencies, private foundations grants and private financing. Other sources of funds utilized during FY 16-17 US Dept of HUD - Disaster Recovery \$523,370.80, National Disaster Recovery \$305,853.31, NSP \$118,240.98, and CoC Program \$2,940,984.50. State Funding Chapter 90 \$2,689,430.12, PARC \$701,300.00, and Heartwap \$566,975. The Union Station Project uses a combination of Federal, State and City funding. \$26,006,008.00 was expended in FY17.

The City is exempt from the HOME match requirement. Vendors and service providers provide the required ESG match. As a component of their application and monthly reports, each provider must detail their matching funds. Resources include Department of Child and Family Services, RAFT, Department of Transitional Assistance, DHCD, Department of Mental Health, MA Housing, and Private financing.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	0
2. Match contributed during current Federal fiscal year	0
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	0
4. Match liability for current Federal fiscal year	0
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	0

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match

Table 6 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at beginning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
0	105,072	105,072	61,426	0

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period

	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	758,195	0	0	0	758,195	0
Number	3	0	0	0	3	0
Sub-Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
	Total	Women Business Enterprises	Male			
Contracts						
Dollar Amount	0	0	0			
Number	0	0	0			
Sub-Contracts						
Number	0	0	0			
Dollar Amount	0	0	0			

Table 8 - Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted

	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition

Parcels Acquired		0	0			
Businesses Displaced		0	0			
Nonprofit Organizations Displaced		0	0			
Households Temporarily Relocated, not Displaced		0	0			
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	170	127
Number of Non-Homeless households to be provided affordable housing units	154	204
Number of Special-Needs households to be provided affordable housing units	114	82
Total	438	413

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	284	319
Number of households supported through The Production of New Units	1	3
Number of households supported through Rehab of Existing Units	53	18
Number of households supported through Acquisition of Existing Units	100	73
Total	438	413

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

The City used HUD Consolidated Plan funds to assist 413 households, which is 94% of the goal for the year. The City provided affordable housing to 127 homeless households, 75% of the goal. The City has been decreasing its investment in HOME tenant-based rental assistance, which has impacted this number. This reduction is being made to increase the amount of HOME funds available for affordable housing rehabilitation/production. The City has decreased the number of households in this program

through attrition, while working to increase other rental assistance programs for the same population, particularly through increased CoC Program funds.

The City produced more new units than originally planned because this year's number included projects initiated in the previous year. The City fell short of its goal for rehabilitation of existing units because none of its multi-family rental rehabilitation projects were completed by the end of the fiscal year. However, a project for this fiscal year is just completing after the end of the fiscal year and will be included in next year's report.

The number of units acquired reflects funding for households to purchase homes. The City set a goal of 100 homes a year based on a plan to provide \$2500 per unit. However, the City has found this amount to be inadequate and is instead providing \$3000 per unit. The difference has reduced the number of households assisted per year.

Discuss how these outcomes will impact future annual action plans.

The City does not plan changes to future annual action plans based on these outcomes.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Households Served	CDBG Actual	HOME Actual
Extremely Low-income	11	32
Low-income	1	9
Moderate-income	6	61
Total	18	102

Table 13 – Number of Households Served

Narrative Information

The activities covered by the numbers in this table are tenant-based rental assistance, emergency homeowner repair, homeownership production, multi-family rehabilitation, and first-time homebuyer. Extremely low-income households most often receive tenant-based rental assistance and emergency home repairs, while first-time homebuyers are most likely to be first-time homebuyers. During this year, the City did not complete any multi-family rehabilitation projects, which are most likely to serve extremely low-income and low-income households.

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The homeless Continuum of Care's very strong outreach capacity is indicated by the progress made over many years in reducing our street population, which was only 22 in the City of Springfield at our most recent point-in-time count in January 2017. Our outreach providers know our unsheltered homeless population and work actively to engage them in housing solutions. Outreach is conducted throughout Hampden County by Eliot Community Human Services (funded by the PATH program), Mercy Medical Center's Health Care for the Homeless program, Behavioral Health Network, the Mental Health Association, and the Veteran's Administration, all of whom coordinate with local emergency rooms and the Springfield Police Department.

Outreach workers assess unsheltered homeless people using a standardized assessment (the Vulnerability Index-Service Prioritization Decision Assistance Tool, or VI-SPDAT) and add names of chronically homeless individuals to a by-name list, which providers use to match individuals to low-demand housing units, coordinated during twice-per-month case conferencing meetings.

The CoC is participating in the national Built for Zero campaign (led by Community Solutions), using data-driven strategies to end chronic and veteran homelessness.

Addressing the emergency shelter and transitional housing needs of homeless persons

The Springfield Hampden County CoC conducts an annual point-in-time count, shelter/housing inventory, and a gaps analysis to analyze the need for inventory. The gaps analysis indicates that Springfield has sufficient emergency shelter beds and sufficient transitional housing.

In FY16-17, the City provided funds to Friends of the Homeless, which provided shelter to 1053 unduplicated individuals over the course of the year, and the YWCA, which provided shelter to 202 people (individuals and families) that had experienced domestic violence. Additional emergency shelter capacity in the City is maintained by the Springfield Rescue Mission, which is privately funded and operates a 40 bed seasonal shelter. The state of Massachusetts funds over 300 units of family shelter in Springfield, and expands capacity through use of motels when those units are full. In FY16-17, the state improved diversion and rapid rehousing placements which eliminated the need for the state to use motels for emergency shelter.

The City and nonprofits operating in the City have shifted programs that provide transitional housing to

permanent supportive housing and rapid rehousing models, based on evidence that these models are more effective in ending homelessness. In FY16-17, the Springfield/Hampden Continuum of Care funded Gandara Center to operate a small transitional housing program for youth, and both the YWCA and HAP Housing operate transitional housing programs for victims of domestic violence. There are also several privately-funded transitional housing facilities in the City, including programs operated by the Rescue Mission, the Bi-Lingual Veterans Outreach Center, and Holy Redeemer Cathedral Ministries.

Over the last several years, the City has been able to expand the number of rapid rehousing placements provided. These placements include provision of rental assistance and supportive services on a time-limited basis to help individuals and families' become stabilized and work on increasing their incomes so that they can support rental payments on their own.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

The CoC sponsors a monthly meeting of police, crisis team workers, emergency room staff, and staff of behavioral health programs to coordinate referral of vulnerable persons who are in and out of systems of care to appropriate housing and service alternatives. Separately, the CoC's primary youth provider, Gandara, coordinates closely with the state foster care and youth services agencies to coordinate housing for youth coming out of those systems of care.

Homelessness prevention resources are targeted to those who are most at risk of homelessness. The Tenancy Preservation Program (TPP) assists households where behavioral health issues are causing eviction, by bringing in the resources to improve the issues leading to lease violations. TPP is funded by the state of Massachusetts, and City of Springfield ESG funds. Catholic Charities is funded with Springfield ESG funds to provide prevention funds and housing stabilization services to low-income households in the eviction process.

The CoC coordinates with other agencies to make sure that homeless households apply for and receive mainstream benefits for which they are eligible. In particular, the CoC works closely with Mercy Hospital/Health Care for the Homeless to ensure that individuals are enrolled in Medicaid and receive health care benefits.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to

permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The Springfield-Hamden County CoC has focused attention and resources into creation of permanent supportive housing for both individuals and families, and targets all of these units to the chronically homeless using a Housing First/low demand model. The CoC continues to identify resources for creation of additional permanent supportive housing. The CoC has a coordinated entry system that targets permanent supportive housing to those with the longest histories of homelessness and the greatest service needs. The CoC has partnered with the Springfield Housing Authority to increase the number of permanent supportive housing units available.

The CoC has created a rapid rehousing system over the past several years, which is effective in quickly moving homeless families and individuals with some sufficient level of income into permanent affordable housing. The City of Springfield allocates a substantial amount of ESG funds to rapid rehousing. CoC providers also receive CoC funds and state ESG funds for rapid rehousing.

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

The City of Springfield and the Springfield Housing Authority partnered to be funded for and carry out a Choice Neighborhoods Planning project, which was focused on an obsolete public housing project, Marble Street Apartments, and the distressed neighborhood in which the project was located. Through the planning process, the residents and neighborhood decided that, given the very large concentration of federally-subsidized privately-owned housing in the South End, the goal was not to replace Marble Street Apartments, but instead to assist occupants to move from the neighborhood. The City and SHA worked together over the past year to implement the neighborhood transformation plan.

SHA has continued to expand its stock of handicap-accessible units through construction of four new handicap-accessible units in the past year.

The City provided CDBG funds to the Springfield Housing Authority to assist in development of on-site computer labs at four family public housing developments.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

SHA encourages public housing residents to become more involved in management through the following:

- A resident member of the SHA Board of Commissioners
- A staff member from the Resident Services Department who is assigned as a liaison to tenant councils to assist in strengthening their operations and activities
- Cooperatively working with tenant councils and outreach to developments without councils to invite their participation as members of the Resident Advisory Board (RAB)
- Outreach to residents to encourage the formation of new tenant councils
- Encouragement of tenant council presidents to attend annual conferences of the MA Union of Public Housing Tenants (state tenant advocacy agency)--SHA funds their conference and travel costs

Actions taken by the SHA to promote homeownership include:

- Provision of information and referral to homeownership classes to all tenants paying flat rent
- Provision by the Resident Services Department of financial literacy classes for residents, which include a component about homeownership and referral to first-time home buyer classes
- Operation of a Homeownership Program which supports and counsels Section 8 participants about how to use a voucher to become a homeowner

Actions taken to provide assistance to troubled PHAs

The Springfield Housing Authority is not designated as troubled.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

The City does not have in place these types of policy barriers to affordable housing, and has affordable housing units in every neighborhood of the City. However, Springfield has collaborated with the Pioneer Valley Planning Commission to create a regional housing equity assessment and a regional housing plan, and these documents identified these types of barriers in many of the areas surrounding Springfield. The City serves on the regional planning committee's Inclusive Communities Task Force as it attempts to identify ways to remove or ameliorate these barriers throughout the region. Over the past year, the Inclusive Communities Task Force has provided outreach and education to communities about how to undertake small-scale affordable housing development, in order to address the objection of many communities that large developments overwhelm small communities.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

The greatest obstacle to meeting the needs identified in the plan is the lack of resources needed to do so. The City strives to maximize the resources it has, through targeting and efficient programming, and it attempts to increase the resources available to address the needs. The City's attempts to increase resources will include:

- Application for competitive grant funding
- Partnership with the private sector to achieve community goals
- Assistance to nonprofit agencies and private partners to enable them to compete for government and private funds
- Advocacy with state administration and local legislative delegation to increase state support for priority City projects and initiatives

The City is using a \$17 million National Disaster Resilience grant to support multiple resilience activities throughout the City's low-income neighborhoods.

The City's response is also limited by restrictions placed on available funding sources. Most significantly, restrictions on the use of CDBG funds make it difficult for the City to use these funds more broadly for job creation and job training, which are critical needs in the City.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

Specific actions the City took to evaluate lead-paint hazards included:

- City Code Enforcement inspections, which evaluate potential hazards in units where children under six reside and enforce remediation in compliance with Massachusetts lead laws
- Mandatory pre-rehabilitation lead testing including soil samples for all HOME-funded project-based homeownership and multi-family production programs

Specific actions to reduce the number of housing units containing lead-based paint hazards included:

- Aggressive enforcement, including—as necessary—legal prosecution of property owners who fail to comply with orders to remediate hazards
- Mandatory remediation within rehabilitation specifications for all project-based and multi-family projects
- Referral of property owners to state-funded lead abatement programs
- Launch of the City's Healthy Homes Rehabilitation Program, funded with CDBG-NDR funds, which provides whole-house rehabilitation and includes lead paint remediation

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

Springfield has a very high poverty rate: 30% of its residents have incomes below the poverty rate set by the Office of Management and Budget (\$28,290 for a family of four in 2017). Forty-four percent of children under 18 live in poverty, placing the city among those with the highest rates of child poverty in the nation.

There is a strong correlation between literacy rates (early childhood education, K-12, and adult education) and the incidence of poverty. Many poor families are headed by parents who have not finished high school, and cannot compete for better-paying jobs. In Springfield, 24% of adults age 25 and over have not completed high school or earned a GED; only 18% have earned a bachelor's degree. Without further education, many low-income parents are unlikely to earn incomes that will support their families.

The City's anti-poverty efforts focus on three broad categories: increasing education and literacy; increasing employment and training opportunities; and increasing household assets.

Many low-income adults lack the education and English language proficiency needed to support their efforts to attain self-sufficiency. In order to assist individuals in overcoming these barriers, the City allocates CDBG funds to Adult Basic Education, GED and English Language classes. In FY 16-17, the City funded adult education programs at New North Citizens Council, The Gray House and the YWCA, serving a total of 221 people.

Within Springfield, there is a mis-match between the jobs available and the skill levels of local residents; the problem is even more pronounced with regard to youth. In FY16-17, the City used CDBG funds to support education, employment and job training opportunities for at-risk persons in the YWCA

YouthBuild Program, DevelopSpringfield and New North Citizens Council Hands in Labor; benefitting 163 low income persons.

The City has a well-developed Section 3 program, which it uses to connect low-income residents and businesses that employ these residents to employment in development projects.

Homeownership is a long-term intergenerational strategy for asset-building. The City supports homeownership through its downpayment assistance program, which assisted 73 households purchase homes in FY16-17, and through its affordable homeownership development program. The City developed three homeownership home in FY16-17, and has three more homes under contract to be developed in the upcoming year.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

In FY14 and FY15, the City undertook a comprehensive planning process directed toward increasing the City's resilience to the expected impacts of climate change. This work has incorporated extensive inter-departmental cooperation and planning, as well as frequent and ongoing community engagement. The planning effort has led to numerous policy and legislative changes that are being enacted. The planning effort has improved the City's ability to respond to cross-sector issues, has improved the City's hazard mitigation planning, and has led to creation of a city-wide Climate Action and Resilience Plan, which was released in June 2017.

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

The City works to enhance coordination between public and private housing, health, and social service agencies through multi-disciplinary/multi-agency task forces and teams that focus on particular issues or neighborhoods. Some of these teams that have been active during FY16-17 are: The Healthy Homes Collaborative; The Springfield Food Policy Council; the Springfield/Hampden County Continuum of Care; the Buy Springfield Now committee; the Closing the Achievement Gap Initiative; the Old Hill Collaborative and four neighborhood-based C3 public safety initiatives.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

The City funded the Massachusetts Fair Housing Center, the region's fair housing education and enforcement center. Massachusetts Fair Housing Center accepts housing discrimination complaints and provides free legal assistance to people who have been victims of discrimination.

Springfield's Office of Housing provides fair housing materials to the public, in English and Spanish, and displays fair housing posters to inform residents of their fair housing rights.

The City provided homebuyer assistance to 73 income-eligible first-time homebuyers purchasing homes within the City in FY16-17. The program is frequently a tool that enables people of color to purchase their first homes; in 2016-2017, 67% of assisted households were Hispanic, 16% were African-American, and 16% were non-Hispanic white. The City's homebuyer assistance program may be used in any of the City's seventeen neighborhoods. Within the City, there are only three neighborhoods in the City whites make up more than 60% of the population (Indian Orchard 66%, Sixteen Acres 71%, and East Forest Park 84%). These neighborhoods are well-represented among locations for first-time homebuyers receiving assistance from the program.

All housing units developed or rehabilitated with HOME or NSP assistance are required to be marketed to those persons least likely to apply.

The City provides homebuyer education classes in Spanish. The class includes components addressing fair housing component and predatory lending.

The City has committed to spend over 95% of its \$22 million CDBG-DR grant in low-income neighborhoods that are made up predominantly of persons of color. The City has been awarded \$17 million CDBG-NDC funds that are programmed for the same neighborhoods.

All rental rehabilitation funded by the City results in lead-safe housing. In 2017, the City launched its \$5 million NDR- funded Healthy Homes program, a comprehensive housing rehabilitation program which will include lead remediation for all enrolled units. The program is targeted to the Six Corners and Memorial Square neighborhoods, both of which are Racially/Ethnically Concentrated Areas of Poverty.

The Springfield Housing Authority has hired a Section 8 Housing Voucher mobility counselor.

The City is an active member in the Pioneer Valley Inclusive Communities Task Force.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

Most community development projects are implemented by CD staff, with internal monitoring via the Integrated Disbursement and Information System (IDIS) project reports, and strong internal procedures. For these projects and others implemented by City departments (such as public works), the CD staff fully understands and incorporates program requirements.

A comprehensive system has been developed by CD for subrecipients in order to insure compliance with program and budget requirements. These subrecipients are usually implementing a social service or housing program. OPED has contractual agreements with subrecipients of the program funds that require compliance with programmatic statutes and regulations. OPED is responsible for ensuring that subrecipients comply with all regulations governing their administrative, financial and programmatic operations and confirm that the subrecipients are achieving their performance objectives within the contractual schedule and budget and performance measurement system. Per HUD's recommendation, OPED utilizes a coordinated project monitoring process, including coordinated fiscal and program on-site monitoring visits.

Main program files for CDBG-funded programs and projects are maintained within CD's central file system, but the programs and projects are managed by program delivery staff within their respective departments. The Community Development Director of Administration and Finance has primary responsibility for long term compliance with program and comprehensive planning requirements. In addition, CD staff oversees the fiscal monitoring of all activities funded through CDBG, HOME, ESG and HOPWA. CD monitors CDBG contracts with organizations, and inter-departmental agreements with other City departments.

The CD Administration Division maintains a master contract schedule to track the dates and results of monitoring for all subrecipients. The schedule measures against six factors:

RISK FACTOR 1: Subrecipient is new to the program

RISK FACTOR 2: Turnover of key staff

RISK FACTOR 3: Prior compliance or performance problems

RISK FACTOR 4: Subrecipient is carrying out a high risk activity (*e.g.* economic development)

RISK FACTOR 5: Multiple CDBG Contracts for the first time

RISK FACTOR 6: Reports not turned in on time

Any contract not included in the program monitoring schedule is subject to "bench monitoring." This process involves contract scope review and review of monthly report forms and monthly narratives submitted by the subrecipient.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

The City's Participation Plan is designed to encourage citizens to participate in the development of the Consolidated Plan, Annual Action Plan, substantial amendments to the Consolidated Plan, and CAPER Performance Reports. As described in the plan, the City encourages the input of low moderate income residents by (1) outreach to the public through mailings, (2) conducting a series of public hearings at various stages of the planning process, (3) creating a system to accept and respond to written comments from the citizens.

To ensure participation among low/moderate income residents, public hearings are held in areas where funds are mostly to be spent and to public housing residents.

The City makes information available and accessible to all interested parties. All information is provided in English and Spanish.

In order to publicize the public hearings, the City mailed a flyer to the individuals and organizations listed on the Office of Community Development's mailing list, posted the flyer on the City's Community Development website, printed advertisements in the Neighborhood Plus section of the Republican, LaVoz, Spanish newspaper, and legal notices were published in the Republican in both English and Spanish.

The CAPER for the fiscal year that commenced on July 1, 2016-June 30,2017(FY16-17), was posted online and available for public review from September 11-September 25, 2017and a public hearing was held on September 13, 2017at 5:00 pm, at Springfield City Hall, Room 220. During the review period copies of the DRAFT CAPER were available at the following places:

- Office of Planning & Economic Development, 70 Tapley Street
- Office of Housing, 1600 East Columbus Avenue
- City of Springfield, City Library, 220 State Street
- <http://www.springfieldcityhall.com/cos/services/deptcd.htm>

An announcement about the hearing and the availability of the DRAFT document was published in English and Spanish in the Springfield *Republican* on August 28, 2017; the Local Section, Neighborhoods Plus, of the *Republican* on September 6, 2017 and in the Spanish Newspaper, *LaVoz* on September 8, 2017. A flyer was mailed to persons and organizations included on the Office of Community Development's extensive mailing list. The advertisement also solicited written feedback from Springfield residents.

There were no questions or comments received on the DRAFT CAPER during the comment period.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

The City does not plan to make changes to its objectives.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

CR-50 - HOME 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

The City completed on-site monitoring for all properties scheduled for monitoring this year: BC Cumberland Homes, Belle Franklin I, Belle Franklin II, Cathedral Hill Apts., Center City, City View Commons I, City View Commons II, Colonial Estates, FOH Worthington House Campus, Forest Park Condos, Hampden Affordable Housing-YMCA, Hunter Place, Jefferson Park, The Kenwyn, Liberty Hill Coop, Maple High Apartments, Mason Wright, Mental Health Association's TBRA units, Memorial Parish, Museum Park I, New Court Terrace, Northern Heights, Outing Park I, Outing Park II, Pynchon I, Pynchon II, Quadrangle Court, The Rainville, St. James Manor, Spring Hill Apartments, Spring Meadow Apts., Tapley Court, and Worthington Commons.

No violations or concerns were identified at any of the projects, with one exception. Monitoring detected some HQS violations in some TBRA units operated by the Mental Health Association. The City has required that repairs be made, and is following up with re-inspections.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

The City's population is 42% Hispanic, 34% non-Hispanic white, 19% Black, and 2% Asian. The population served by HOME programs in the last fiscal year was 53% Hispanic, 14% non-Hispanic white, 33% Black, and 0% Asian.

This data indicates that affirmative marketing campaigns are successfully reaching people of various races and ethnicities.

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

The City of Springfield recorded \$105,072.43 program income during the 2016-2017 fiscal year. Of the \$105,072.43, \$17,873.00 are recaptured funds and \$87,199.43 is program income.

A total of \$34,926.86 was used for the First Time Homebuyer program. Fifteen homebuyers were assisted. Ten homebuyers were Other Multi-racial; one was extremely low income, three were very low

income, four were low income and two were low/mod income- IDIS #4818, 4812, 4810, 4766, 4816, 4794, 4795, 4793, 4814, 4815. Three homebuyers were White; one was low income and two were low-mod-IDIS #4765, 4768, 4811. Two homebuyers were Black; both homebuyers were low income-#4808, 4817. \$61,425.63 was used for Tenent Based Rental Assistance IDIS #4734, #4725, #4809. The final \$8,719.94 was used for HOME administration, IDIS #4735.

Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)

The City has provided funding for redevelopment of the following projects in this fiscal year: Memorial Square (40 affordable units), which was completed at the end of the fiscal year and will be closed out and reported on in next year's CAPER.

The City is using NSP, CDBG-DR and NDR funds to create affordable housing. NSP is funding affordable homeownership and rehabilitation of multi-family rental housing; CDBG-DR is being used to create affordable homeownership; and NDR is being used for rehabilitation of rental and homeowner housing. In FY16-17, the City created 3 affordable homeownership units, and has contracted to produce another 3 affordable homeownership units in FY17-18.

CR-55 - HOPWA 91.520(e)

Identify the number of individuals assisted and the types of assistance provided

Table for report on the one-year goals for the number of households provided housing through the use of HOPWA activities for: short-term rent, mortgage, and utility assistance payments to prevent homelessness of the individual or family; tenant-based rental assistance; and units provided in housing facilities developed, leased, or operated with HOPWA funds.

Number of Households Served Through:	One-year Goal	Actual
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	90	65
Tenant-based rental assistance	24	24
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	0	0
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	0	0

Table 14 – HOPWA Number of Households Served

Narrative

Springfield is the grantee for entitlement HOPWA funds for the Eligible Metropolitan Statistical Area (EMSA) of Hampden, Hampshire and Franklin Counties. The City implements the HOPWA program through four subrecipients: New North Citizens Council, River Valley Counseling Center, Cooley Dickinson - A Positive Place, and the Center for Human Development's HIV/AIDS Law Consortium.

In FY16-17, recipients of HOPWA funding served 249 unduplicated households. The funded agencies provided Tenant-Based Rental Assistance (TBRA) to 24 households; Short-Term Rent, Mortgage, and Utility (STRMU) Assistance to 65 households; housing information to 50 households and supportive services to 110 households.

Over 40% of funds are used to provide Tenant-Based Rental Assistance or Short Term Rental, Utilities or Mortgage Assistance. The rest of the funds go to supportive services, including Rental Start-Up (first, last and security deposit) and legal assistance related to housing issues.

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	SPRINGFIELD
Organizational DUNS Number	073011921
EIN/TIN Number	046001415
Identify the Field Office	BOSTON
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Springfield CoC

ESG Contact Name

Prefix	0
First Name	Geraldine
Middle Name	0
Last Name	McCafferty
Suffix	0
Title	Director of Housing

ESG Contact Address

Street Address 1	Office of Housing
Street Address 2	0
City	Springfield
State	MA
ZIP Code	-
Phone Number	4138865014
Extension	0
Fax Number	0
Email Address	gmccafferty@springfieldcityhall.com

ESG Secondary Contact

Prefix	
First Name	
Last Name	
Suffix	
Title	
Phone Number	
Extension	
Email Address	

2. Reporting Period—All Recipients Complete

Program Year Start Date	07/01/2016
Program Year End Date	06/30/2017

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name
City
State
Zip Code
DUNS Number
Is subrecipient a victim services provider
Subrecipient Organization Type
ESG Subgrant or Contract Award Amount

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 16 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 17 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 18 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 19 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 20 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	0
Female	0
Transgender	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 21 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	0
18-24	0
25 and over	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 22 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans	0	0	0	0
Victims of Domestic Violence	0	0	0	0
Elderly	0	0	0	0
HIV/AIDS	0	0	0	0
Chronically Homeless	0	0	0	0
Persons with Disabilities:				
Severely Mentally Ill	0	0	0	0
Chronic Substance Abuse	0	0	0	0
Other Disability	0	0	0	0
Total (Unduplicated if possible)	0	0	0	0

Table 23 – Special Population Served

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

10. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	56,575
Total Number of bed-nights provided	54,812
Capacity Utilization	96.88%

Table 24 – Shelter Capacity

11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

The City and the CoC have collaborated to update performance standards for ESG projects.

The performance standards that have been set for ESG programs are as follows:

Utilization rate: 100%

Targeting for literally homeless (for rapid rehousing programs): 100%

Average length of stay (for emergency shelter programs) 30 days or 5% improvement over prior year

Exit data captured: Prevention - 90%; Individual shelter - 35%; Family shelter - 75%; Rapid rehousing - 90%

Exit to permanent housing: Individual shelter - 20%; Family shelter - 60%; Rapid rehousing - 90%

Receipt of non-cash benefits: For family shelter and rapid rehousing: 90%

Missing data elements: For prevention and family shelter - no more than 3%; all others - no more than 5%

Timely invoicing: monthly

Grant spend-down: at least 90%

All programs performed satisfactorily, although not all were able to meet all performance goals. Each program has been provided a report card which indicates areas where improvement is needed.

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	90,980	94,125	127,736
Subtotal Homelessness Prevention	90,980	94,125	127,736

Table 25 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	119,426	125,318	105,226
Subtotal Rapid Re-Housing	119,426	125,318	105,226

Table 26 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Essential Services	0	0	0
Operations	68,582	65,168	68,750
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	68,582	65,168	68,750

Table 27 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Street Outreach	0	0	0
HMIS	0	0	0
Administration	22,907	24,447	23,996

Table 28 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2014	2015	2016
	301,895	309,058	325,708

Table 29 - Total ESG Funds Expended

11f. Match Source

	2014	2015	2016
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	0
State Government	1,758,428	1,042,152	1,021,444
Local Government	0	0	0
Private Funds	60,410	62,000	60,910
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	1,818,838	1,104,152	1,082,354

Table 30 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	2014	2015	2016
	2,120,733	1,413,210	1,408,062

Table 31 - Total Amount of Funds Expended on ESG Activities

Attachment

PR26



PART I: SUMMARY OF CDBG RESOURCES

01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	0.00
02 ENTITLEMENT GRANT	3,492,331.00
03 SURPLUS URBAN RENEWAL	0.00
04 SECTION 108 GUARANTEED LOAN FUNDS	0.00
05 CURRENT YEAR PROGRAM INCOME	212,204.44
05a CURRENT YEAR SECTION 108 PROGRAM INCOME (FOR SI TYPE)	0.00
05 FUNDS RETURNED TO THE LINE-OF-CREDIT	0.00
05a FUNDS RETURNED TO THE LOCAL CDBG ACCOUNT	0.00
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE	0.00
08 TOTAL AVAILABLE (SUM, LINES 01-07)	3,704,538.44

PART II: SUMMARY OF CDBG EXPENDITURES

09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	2,549,169.98
10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	0.00
11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 - LINE 10)	2,549,169.98
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	732,365.67
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	0.00
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES	0.00
15 TOTAL EXPENDITURES (SUM, LINES 11-14)	3,281,535.65
16 UNEXPENDED BALANCE (LINE 08 - LINE 15)	423,002.79

PART III: LOW/MOD BENEFIT THIS REPORTING PERIOD

17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	0.00
19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	2,125,249.92
20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT	0.00
21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)	2,125,249.92
22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)	83.37%

LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS

23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION	PY: 2014 PY: 2015 PY: 2016
24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION	3,061,198.37
25 CUMULATIVE EXPENDITURES BENEFITTING LOW/MOD PERSONS	2,697,937.59
26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)	88.13%

PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS

27 DISBURSED IN IDIS FOR PUBLIC SERVICES	638,237.35
28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	(129,621.52)
31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30)	508,615.83
32 ENTITLEMENT GRANT	3,492,331.00
33 PRIOR YEAR PROGRAM INCOME	209,885.10
34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	0.00
35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)	3,702,219.10
36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	13.74%

PART V: PLANNING AND ADMINISTRATION (PA) CAP

37 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	732,365.67
38 PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
39 PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
40 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	0.00
41 TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 + LINE 40)	732,365.67
42 ENTITLEMENT GRANT	3,492,331.00
43 CURRENT YEAR PROGRAM INCOME	212,204.44
44 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	0.00
45 TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)	3,704,538.44
46 PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	19.77%



LINE 17 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 17
 Report returned no data.

LINE 18 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 18
 Report returned no data.

LINE 19 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 19

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2016	47	4691	5994780	Disposition	02	LMA	\$13,233.96
2016	47	4691	6007460	Disposition	02	LMA	\$1,312.96
2016	47	4691	6024511	Disposition	02	LMA	\$1,051.87
2016	47	4691	6034825	Disposition	02	LMA	\$252.19
2016	47	4691	6049141	Disposition	02	LMA	\$1,247.05
2016	47	4691	6055750	Disposition	02	LMA	\$4,092.89
2016	47	4691	6066237	Disposition	02	LMA	\$1,103.82
					02	Matrix Code	\$23,094.69
2014	74	4288	5989855	Park Reconstruction	03	LMA	\$15,735.78
2015	49	4621	5984051	Boys & Girls Family Center	03	LMA	\$16,665.67
2015	49	4624	5984051	Children's Study Home	03	LMA	\$7,600.00
2015	49	4624	6006796	Children's Study Home	03	LMA	\$3,450.00
2015	49	4798	6065636	Greater New Life Christian Center- Roof Repair	03	LMA	\$25,000.00
2015	49	4805	6055597	YWCA- Boiler/Hot Water Replacement	03	LMA	\$10,000.00
2016	48	4855	6074409	Pipe Wrench Dreams	03	LMA	\$5,000.00
					03	Matrix Code	\$83,452.95
2015	49	4796	6065732	Boys & Girls Family Center- Electrical Upgrades	03D	LMC	\$20,000.00
					03D	Matrix Code	\$20,000.00
2016	51	4853	6049741	Mary Lynch Park	03F	LMA	\$20,650.00
					03F	Matrix Code	\$20,650.00
2015	52	4486	5963880	Streets & Sidewalks	03L	LMA	\$19,904.34
					03L	Matrix Code	\$19,904.34
2015	49	4800	6047787	Morris Professional Childcare- Playground Equipment	03M	LMC	\$10,000.00
2015	49	4803	6047380	Springfield Partners for Community Action- Renovations & Repairs to the Childcare Center	03M	LMC	\$11,959.50
2015	49	4803	6055597	Springfield Partners for Community Action- Renovations & Repairs to the Childcare Center	03M	LMC	\$6,427.69
					03M	Matrix Code	\$28,387.59
2014	15	4795	6028890	Clearance & Demo	04	LMA	\$403,000.00
2015	45	4689	5994780	Clearance & Demo/Program Delivery	04	LMA	\$9,490.61
2015	45	4689	6007460	Clearance & Demo/Program Delivery	04	LMA	\$397,680.77
2015	45	4689	6024511	Clearance & Demo/Program Delivery	04	LMA	\$944.16
2015	45	4689	6034825	Clearance & Demo/Program Delivery	04	LMA	\$452.10
2015	45	4689	6049141	Clearance & Demo/Program Delivery	04	LMA	\$1,041.80
2015	45	4689	6055750	Clearance & Demo/Program Delivery	04	LMA	\$402.29
2015	45	4689	6066237	Clearance & Demo/Program Delivery	04	LMA	\$46.13
					04	Matrix Code	\$813,057.81
2015	34	4462	5951393	YouthBuild	05	LMC	\$3,860.00
2015	27	4671	5994780	Parenting & Lifeskills Support	05	LMC	\$893.86
2015	27	4671	6034825	Parenting & Lifeskills Support	05	LMC	\$833.25
					05	Matrix Code	\$5,587.11
2015	14	4654	5994780	Senior Center-Hungry Hill	05A	LMC	\$550.00
2015	14	4654	6007460	Senior Center-Hungry Hill	05A	LMC	\$421.96
2015	14	4654	6034825	Senior Center-Hungry Hill	05A	LMC	\$290.99
2015	14	4654	6049141	Senior Center-Hungry Hill	05A	LMC	\$1,265.00
2015	14	4654	6055750	Senior Center-Hungry Hill	05A	LMC	\$800.00
2015	21	4665	6007460	Senior Center-Pine Point	05A	LMC	\$5,000.00



Office of Community Planning and Development
 U.S. Department of Housing and Urban Development
 Integrated Disbursement and Information System
 FY26 CDBG Financial Summary Report
 Program Year 2016
 SPRINGFIELD, MA

DATE: 09-07-17
 TIME: 12:39
 PAGE: 3

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
					05A	Matrix Code	\$8,327.95
2016	7	4647	6024511	Disability Resources	05B	LMC	\$1,665.95
2016	7	4647	6019141	Disability Resources	05B	LMC	\$1,380.13
2016	9	4649	6031825	Camp CASA	05B	LMC	\$5,000.00
2016	18	4658	5994780	Camp Star/Camp Angelina	05B	LMC	\$71,550.90
					05B	Matrix Code	\$79,597.98
2015	6	4419	5951393	Early Childhood Mental Health Support	05D	LMC	\$465.54
2015	8	4422	5951393	Teens in Transition-Family Center	05D	LMC	\$912.92
2015	17	4430	5951393	College & Career Readiness	05D	LMC	\$819.90
2015	26	4439	5951977	Indian Orchard Unit	05D	LMC	\$1,472.16
2015	27	4440	5951393	Youth Swim & Safety	05D	LMC	\$120.66
2015	37	4445	5951393	College Career Mentoring	05D	LMC	\$769.75
2016	2	4641	5994780	5A Bulldogs	05D	LMC	\$8,783.00
2016	2	4641	5007460	5A Bulldogs	05D	LMC	\$1,217.00
2016	3	4643	5994780	WEB Dubois Academy	05D	LMC	\$7,382.00
2016	3	4643	5066237	WEB Dubois Academy	05D	LMC	\$1,500.00
2016	4	4644	5007460	Indian Orchard Unit Program	05D	LMC	\$4,873.33
2016	4	4644	5049141	Indian Orchard Unit Program	05D	LMC	\$2,125.63
2016	5	4645	5007460	Youth Swim & Safety	05D	LMC	\$5,613.40
2016	5	4645	5049141	Youth Swim & Safety	05D	LMC	\$1,881.57
2016	5	4646	5007460	Teens in Transition	05D	LMC	\$1,713.57
2016	5	4646	5034625	Teens in Transition	05D	LMC	\$681.30
2016	15	4655	5049141	College & Career Readiness	05D	LMC	\$1,855.23
2016	19	4663	5994780	Open Pool Program	05D	LMC	\$77,874.40
2016	20	4664	5994780	Summer Enrichment	05D	LMC	\$37,624.26
2016	24	4668	5049141	After School Program	05D	LMC	\$9,920.71
2016	25	4669	5994780	Summer Activities	05D	LMC	\$22,937.05
2016	29	4673	5049141	Academic & Career Mentoring	05D	LMC	\$1,845.20
2016	29	4673	5066237	Academic & Career Mentoring	05D	LMC	\$1,730.80
2016	31	4675	5034625	Teen Pregnancy Peer Prevention	05D	LMC	\$2,734.70
2016	32	4676	5007460	Safe Summer Streets	05D	LMC	\$8,194.00
					05D	Matrix Code	\$254,570.88
2016	13	4653	5034625	New Life Center for Recovery	05F	LMC	\$7,000.00
					05F	Matrix Code	\$7,000.00
2016	10	4650	5066237	Business Park Employment Program	05H	LMC	\$7,947.65
2016	22	4666	5034625	Revitalize CDC YouthBuild Job Training	05H	LMC	\$2,125.00
2016	33	4677	5994780	YouthBuild	05H	LMC	\$4,387.24
2016	33	4677	5007460	YouthBuild	05H	LMC	\$835.80
2016	33	4677	5021511	YouthBuild	05H	LMC	\$863.18
2016	33	4677	5034625	YouthBuild	05H	LMC	\$1,595.90
2016	33	4677	5049141	YouthBuild	05H	LMC	\$1,244.74
2016	33	4677	5066237	YouthBuild	05H	LMC	\$825.35
					05H	Matrix Code	\$14,832.86
2016	16	4656	5994780	Flar Housing	05J	LMC	\$1,440.54
2016	16	4656	6049141	Flar Housing	05J	LMC	\$2,977.10
					05J	Matrix Code	\$4,417.64
2015	30	4443	5951393	Access Funds	05L	LMC	\$7,125.23
2016	28	4672	6034625	Access Funds	05L	LMC	\$2,002.21
					05L	Matrix Code	\$9,127.47
2016	40	4684	5994780	Existing Home Rehab, Emergency Repair, Program Delivery	14A	LMH	\$22,574.14
2016	40	4684	5007460	Existing Home Rehab, Emergency Repair, Program Delivery	14A	LMH	\$7,980.66
2016	40	4684	6024511	Existing Home Rehab, Emergency Repair, Program Delivery	14A	LMH	\$7,499.06
2016	40	4684	6031825	Existing Home Rehab, Emergency Repair, Program Delivery	14A	LMH	\$3,705.61
2016	40	4684	6049141	Existing Home Rehab, Emergency Repair, Program Delivery	14A	LMH	\$9,370.05
2016	40	4684	6055750	Existing Home Rehab, Emergency Repair, Program Delivery	14A	LMH	\$5,488.31
2016	40	4684	6066237	Existing Home Rehab, Emergency Repair, Program Delivery	14A	LMH	\$947.38



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2016	40	4732	6034825	Angel & Loticia R	14A	LMH	\$16,300.00
2016	40	4733	6034825	Anne & Gregory K	14A	LMH	\$5,200.00
2016	40	4756	5994780	Jenny F	14A	LMH	\$15,700.00
2016	40	4757	5994780	Barbara S	14A	LMH	\$700.00
2016	40	4757	6007460	Barbara S	14A	LMH	\$11,550.00
2016	40	4758	5994780	Daisy S	14A	LMH	\$11,400.00
2016	40	4759	5994780	G'icely R	14A	LMH	\$9,475.00
2016	40	4760	5994780	Frankie J	14A	LMH	\$15,500.00
2016	40	4762	6007460	Elaine D	14A	LMH	\$700.00
2016	40	4762	6024511	Elaine D	14A	LMH	\$13,252.00
2016	40	4770	6034825	Valene F	14A	LMH	\$4,400.00
2016	40	4770	6049141	Valerie F	14A	LMH	\$700.00
2016	40	4771	6034825	Albert C	14A	LMH	\$11,700.00
2016	40	4772	6007460	Jose A. S	14A	LMH	\$13,550.00
2016	40	4773	6007460	Lucile M	14A	LMH	\$700.00
2016	40	4773	6024511	Lucile M	14A	LMH	\$11,300.00
2016	40	4784	6034825	Kaivene A	14A	LMH	\$700.00
2016	40	4784	6049141	Kaivene A	14A	LMH	\$11,375.00
2016	40	4840	6055750	Shantaye M	14A	LMH	\$5,337.00
2016	40	4849	6066237	Pernese M	14A	LMH	\$700.00
2016	40	4850	6066237	Angel C	14A	LMH	\$700.00
2016	44	4688	5994780	Green & Ft Neighborhood Rebuild	14A	LMH	\$1,265.63
2016	44	4688	6007460	Green & Ft Neighborhood Rebuild	14A	LMH	\$13,393.13
2016	44	4688	6055750	Green & Ft Neighborhood Rebuild	14A	LMH	\$3,171.94
							14A Matrix Code \$238,486.71
2016	41	4685	5994780	HeartWap	14F	LMH	\$73,081.60
2016	41	4685	6007460	HeartWap	14F	LMH	\$26,537.59
2016	41	4685	6024511	HeartWap	14F	LMH	\$21,271.46
2016	41	4685	6034825	HeartWap	14F	LMH	\$13,062.87
2016	41	4685	6049141	HeartWap	14F	LMH	\$28,236.93
2016	41	4685	6055750	HeartWap	14F	LMH	\$16,767.42
2016	41	4685	6066237	HeartWap	14F	LMH	\$3,597.36
							14F Matrix Code \$182,258.23
2016	42	4686	5994780	First Time Home Buyer/Program Delivery	14H	LMC	\$36,148.96
2016	42	4686	6007460	First Time Home Buyer/Program Delivery	14H	LMC	\$12,593.65
2016	42	4686	6024511	First Time Home Buyer/Program Delivery	14H	LMC	\$11,307.27
2016	42	4686	6034825	First Time Home Buyer/Program Delivery	14H	LMC	\$5,702.93
2016	42	4686	6049141	First Time Home Buyer/Program Delivery	14H	LMC	\$14,786.78
2016	42	4686	6055750	First Time Home Buyer/Program Delivery	14H	LMC	\$8,557.69
2016	42	4686	6066237	First Time Home Buyer/Program Delivery	14H	LMC	\$1,429.75
2016	53	4697	5994780	Graffiti Program	14H	LMA	\$7,976.74
2016	53	4697	6007460	Graffiti Program	14H	LMA	\$1,576.84
2016	53	4697	6024511	Graffiti Program	14H	LMA	\$1,030.70
2016	53	4697	6034825	Graffiti Program	14H	LMA	\$802.89
2016	53	4697	6049141	Graffiti Program	14H	LMA	\$2,151.68
2016	53	4697	6055750	Graffiti Program	14H	LMA	\$2,456.40
2016	53	4697	6066237	Graffiti Program	14H	LMA	\$634.93
							14H Matrix Code \$107,507.21
2016	49	4693	5994780	Code Enforcement	15	LMA	\$27,499.80
2016	49	4693	6007460	Code Enforcement	15	LMA	\$827.18
2016	49	4693	6024511	Code Enforcement	15	LMA	\$2,632.04
2016	49	4693	6034825	Code Enforcement	15	LMA	\$2,164.44
2016	49	4693	6049141	Code Enforcement	15	LMA	\$7,471.46
2016	49	4693	6055750	Code Enforcement	15	LMA	\$1,067.46
2016	49	4693	6066237	Code Enforcement	15	LMA	\$900.00
							15 Matrix Code \$40,562.38



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2015	54	4559	5984051	Meadowbrook Lane Inc.	18A	LMI	\$5,000.00	
2015	54	4559	5006796	Meadowbrook Lane Inc.	18A	LMI	\$1,329.50	
2015	54	4611	5024050	White Lion Brewing Co.	18A	LMI	\$5,000.00	
2015	54	4633	5963880	Italian Bread Shop	18A	LMI	\$2,631.05	
2015	54	4633	5984051	Italian Bread Shop	18A	LMI	\$2,738.26	
2015	54	4636	5963880	State & Bond LLC	18A	LMI	\$5,000.00	
2015	54	4637	5963880	SciAntics Group Businesses, LLC	18A	LMI	\$5,000.00	
2015	54	4638	5963880	K&O Corp	18A	LMI	\$5,348.74	
2015	54	4638	5984051	K&O Corp	18A	LMI	\$4,574.00	
2015	54	4639	5963880	Goddess Courture	18A	LMI	\$2,295.00	
2016	52	4744	6034825	Best Pizza	18A	LMI	\$13,500.00	
2016	52	4746	5994780	Three Sisters Beauty and Supplies	18A	LMI	\$10,000.00	
2016	52	4746	6024511	Three Sisters Beauty and Supplies	18A	LMI	\$5,000.00	
2016	52	4749	5994780	Just B	18A	LMI	\$6,710.94	
2016	52	4749	6024511	Just B	18A	LMI	\$875.94	
2016	52	4749	6055750	Just B	18A	LMI	\$1,799.06	
2016	52	4749	6066237	Just B	18A	LMI	\$0.94	
2016	52	4750	5994780	Orange Park Management	18A	LMI	\$16,895.50	
2016	52	4750	6024511	Orange Park Management	18A	LMI	\$15,082.40	
2016	52	4806	6055750	Latisha Smith dba Beyond Glamorous	18A	LMI	\$10,000.00	
2016	52	4806	6066237	Latisha Smith dba Beyond Glamorous	18A	LMI	\$5,000.00	
2016	52	4839	6055750	S.C IN FIT	18A	LMI	\$6,750.00	
							18A Matrix Code	\$130,531.33
2016	46	4690	5994780	Neighborhood Capacity	19C	LMA	\$13,814.65	
2016	46	4690	6007460	Neighborhood Capacity	19C	LMA	\$4,782.81	
2016	46	4690	6024511	Neighborhood Capacity	19C	LMA	\$4,275.40	
2016	46	4690	6034825	Neighborhood Capacity	19C	LMA	\$2,052.20	
2016	46	4690	6049141	Neighborhood Capacity	19C	LMA	\$5,302.21	
2016	46	4690	6055750	Neighborhood Capacity	19C	LMA	\$3,154.47	
2016	46	4690	6066237	Neighborhood Capacity	19C	LMA	\$513.05	
							19C Matrix Code	\$33,894.79
Total								\$2,125,249.92

LINE 27 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 27

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount	
2016	11	4651	5994780	Worthington Street Shelter Program	03T	LMC	\$15,582.82	
2016	11	4651	6007460	Worthington Street Shelter Program	03T	LMC	\$57,518.09	
							03T Matrix Code	\$73,100.91
2015	12	4426	5951393	Worthington Street Program-FOH	05	LMC	\$10,041.72	
2015	29	4442	5954977	Veterans Work First Program	05	LMC	\$760.00	
2015	34	4462	5951393	YouthBuild	05	LMC	\$3,800.00	
2015	36	4470	5954977	Adult Basic Ed	05	LMC	\$9,127.84	
2015	37	4471	5954977	Homeless Prevention	05	LMC	\$3,643.72	
2016	12	4652	5994780	Community Education Support	05	LMC	\$2,500.00	
2016	12	4652	6007460	Community Education Support	05	LMC	\$1,250.00	
2016	12	4652	6024511	Community Education Support	05	LMC	\$1,250.00	
2016	12	4652	6034825	Community Education Support	05	LMC	\$2,500.00	
2016	12	4652	6049141	Community Education Support	05	LMC	\$1,250.00	
2016	12	4652	6066237	Community Education Support	05	LMC	\$1,250.00	
2016	27	4671	5994780	Parenting & Lifeskills Support	05	LMC	\$890.06	
2016	27	4671	6034825	Parenting & Lifeskills Support	05	LMC	\$833.25	
2016	35	4679	6007460	Homeless Prevention	05	LMC	\$9,209.54	
2016	35	4679	6049141	Homeless Prevention	05	LMC	\$5,458.41	
2016	35	4679	6066237	Homeless Prevention	05	LMC	\$1,388.86	



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Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2016	36	4680	5994780	Adult Basic Ed	05	LMC	\$800.00
2016	36	4680	6007460	Adult Basic Ed	05	LMC	\$14,862.06
2016	36	4680	6049141	Adult Basic Ed	05	LMC	\$15,538.59
					05	Matrix Code	\$86,328.85
2016	14	4654	5994780	Senior Center-Hungry Hill	05A	LMC	\$850.00
2016	14	4654	6007460	Senior Center-Hungry Hill	05A	LMC	\$421.86
2016	14	4654	6034825	Senior Center-Hungry Hill	05A	LMC	\$290.99
2016	14	4654	6049141	Senior Center-Hungry Hill	05A	LMC	\$1,265.00
2016	14	4651	6055750	Senior Center-Hungry Hill	05A	LMC	\$800.00
2016	17	4657	5994780	Senior Food Choice	05A	LMC	\$2,499.99
2016	17	4657	6024511	Senior Food Choice	05A	LMC	\$2,499.99
2016	17	4657	6034825	Senior Food Choice	05A	LMC	\$833.33
2016	17	4657	6049141	Senior Food Choice	05A	LMC	\$2,499.99
2016	17	4657	6066237	Senior Food Choice	05A	LMC	\$833.33
2016	21	4665	6007460	Senior Center Fine Point	05A	LMC	\$5,000.00
					05A	Matrix Code	\$17,494.58
2016	7	4647	6024511	Disability Resources	05B	LMC	\$1,868.95
2016	7	4647	6049141	Disability Resources	05B	LMC	\$1,380.13
2016	9	4649	6034825	Camp CASA	05B	LMC	\$5,000.00
2016	18	4658	5994780	Camp Star/Camp Angelina	05B	LMC	\$71,550.90
					05B	Matrix Code	\$79,597.98
2015	5	4419	5951393	Early Childhood Mental Health Support	05D	LMC	\$465.54
2015	8	4422	5951393	Teens in Transition-Family Center	05D	LMC	\$812.92
2015	17	4430	5951393	College & Career Readiness	05D	LMC	\$819.90
2015	26	4439	5954977	Indian Orchard Unit	05D	LMC	\$1,472.16
2015	27	4440	5951393	Youth Swim & Safety	05D	LMC	\$120.88
2015	32	4445	5951393	College Career Mentoring	05D	LMC	\$769.75
2015	38	4472	5954977	TRACKS Program	05D	LMC	\$4,594.38
2016	2	4641	5994780	5A Bulldogs	05D	LMC	\$8,783.00
2016	2	4641	6007460	5A Bulldogs	05D	LMC	\$1,217.00
2016	3	4643	5994780	WEB Dubois Academy	05D	LMC	\$7,382.00
2016	3	4643	6066237	WEB Dubois Academy	05D	LMC	\$1,500.00
2016	4	4644	6007460	Indian Orchard Unit Program	05D	LMC	\$4,873.33
2016	4	4644	6049141	Indian Orchard Unit Program	05D	LMC	\$2,123.63
2016	5	4645	6007460	Youth Swim & Safety	05D	LMC	\$5,819.40
2016	5	4645	6049141	Youth Swim & Safety	05D	LMC	\$1,881.57
2016	6	4646	6007460	Teens in Transition	05D	LMC	\$1,718.57
2016	6	4646	6034825	Teens in Transition	05D	LMC	\$884.10
2016	8	4648	6007460	Childrens Chorus of Springfield	05D	LMC	\$1,900.00
2016	8	4648	6066237	Childrens Chorus of Springfield	05D	LMC	\$3,500.00
2016	14	4655	6049141	College & Career Readiness	05D	LMC	\$1,855.23
2016	19	4663	5994780	Open Pool Program	05D	LMC	\$77,874.40
2016	20	4664	5994780	Summer Enrichment	05D	LMC	\$57,624.26
2016	23	4667	5994780	Bridging the Gap	05D	LMC	\$4,773.14
2016	23	4667	6007460	Bridging the Gap	05D	LMC	\$2,550.98
2016	23	4667	6034825	Bridging the Gap	05D	LMC	\$1,919.38
2016	23	4667	6049141	Bridging the Gap	05D	LMC	\$2,570.98
2016	23	4667	6066237	Bridging the Gap	05D	LMC	\$1,173.15
2016	24	4668	6049141	After School Program	05D	LMC	\$8,929.71
2016	25	4669	5994780	Summer Activities	05D	LMC	\$22,937.05
2016	26	4670	6049141	Springfield College Literacy Awards	05D	LMC	\$2,093.23
2016	29	4673	6049141	Academic & Career Mentoring	05D	LMC	\$1,845.20
2016	29	4673	6066237	Academic & Career Mentoring	05D	LMC	\$1,230.80
2016	31	4675	6034825	Teen Pregnancy Peer Prevention	05D	LMC	\$2,731.70
2016	32	4676	6007460	Safe Summer Streets	05D	LMC	\$8,191.00
2016	37	4681	6007460	Summer in the City	05D	LMC	\$8,748.75



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2016	37	4681	6049141	Summer in the City	05D	LMC	\$2,223.00
2016	37	4681	6066237	Summer in the City	05D	LMC	\$2,405.40
2016	38	4682	6007460	TRACKS	05D	LMC	\$7,921.08
2016	38	4682	6049141	TRACKS	05D	LMC	\$2,821.18
					05D	Matrix Code	\$304,066.16
2015	39	4473	5954977	Recovery Support	05F	LMC	\$4,842.09
2016	13	4653	6034825	New Life Center for Recovery	05F	LMC	\$7,000.00
2016	34	4678	6007460	Recovery Support	05F	LMC	\$4,770.24
2016	34	4678	6049141	Recovery Support	05F	LMC	\$5,810.50
2016	34	4678	6066237	Recovery Support	05F	LMC	\$4,111.38
					05F	Matrix Code	\$26,334.21
2015	65	4474	5954977	Hands in Labor	05H	LMC	\$7,461.85
2016	10	4650	6066237	Handness Park Employment Program	05H	LMC	\$2,947.65
2016	22	4666	6034825	Revitalize CDC-YouthBuild Job Training	05H	LMC	\$2,125.00
2016	33	4677	5994780	YouthBuild	05H	LMC	\$4,387.24
2016	33	4677	6007460	YouthBuild	05H	LMC	\$838.00
2016	33	4677	6024511	YouthBuild	05H	LMC	\$868.18
2016	33	4677	6034825	YouthBuild	05H	LMC	\$1,593.00
2016	33	4677	6049141	YouthBuild	05H	LMC	\$1,244.74
2016	33	4677	6066237	YouthBuild	05H	LMC	\$829.35
2016	39	4683	6007460	Hands in Labor; Manos a La Obra	05H	LMC	\$5,375.12
2016	39	4683	6049141	Hands in Labor; Manos a La Obra	05H	LMC	\$5,425.61
2016	39	4683	6066237	Hands in Labor; Manos a La Obra	05H	LMC	\$4,674.01
					05H	Matrix Code	\$37,769.55
2016	16	4656	5994780	Fair Housing	05J	LMC	\$1,440.54
2016	16	4656	6049141	Fair Housing	05J	LMC	\$2,977.10
					05J	Matrix Code	\$4,417.64
2015	30	4443	5951393	Access Funds	05L	LMC	\$7,125.23
2016	28	4672	6034825	Access Funds	05L	LMC	\$2,002.24
					05L	Matrix Code	\$9,127.47
Total							\$638,237.35

LINE 37 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 37

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2016	1	4640	5994780	CDBG Planning & Administration	21A		\$266,816.28
2016	1	4640	6007460	CDBG Planning & Administration	21A		\$194,464.08
2016	1	4640	6024511	CDBG Planning & Administration	21A		\$86,117.00
2016	1	4640	6034825	CDBG Planning & Administration	21A		\$43,823.12
2016	1	4640	6049141	CDBG Planning & Administration	21A		\$88,556.50
2016	1	4640	6055750	CDBG Planning & Administration	21A		\$90,031.41
2016	1	4640	6065732	CDBG Planning & Administration	21A		\$51,358.68
2016	1	4640	6066237	CDBG Planning & Administration	21A		\$800.00
					21A	Matrix Code	\$732,365.67
Total							\$732,365.67

CR-05 Goals and Outcomes

CR-05 Goals and Outcomes

HUD Section 108 Loan Fund - Downtown Dining District Fund

As part of the Transformative Development Initiative District (TDI) the city made a number of commitments as a core partner. First, in upgrading public spaces – the city has committed to upgrading the major public park in the district, Stearns Square, to become an outdoor destination for residents, businesses, and visitors. As part of that project the city will also upgrade a connecting walkway, known as Duryea Way that helps provide access to the redeveloped Union Station Project. Work on both of these park projects is expected to begin in 2017. The city has also been approved by the Department of Housing and Urban Development to create a Downtown Dining District Loan Fund (DDDF) with Section 108 Loan Funds. HUD approved a loan of \$1,500,000.00 for the DDDF. The DDDF provides low interest loan funding with flexible terms to experienced restaurant operators for the development of full service restaurants in the district. The goal of the project is to repopulate currently vacant storefronts with a unique districtwide dining experience that will serve as a destination point while also stimulating new job growth. Loans are available up to \$200,000 each and will offer a deferment of up to two years to allow for restaurants to get established after the significant investment.

The program was formally approved by HUD in late 2016. In 2017, the city has progressed in activities including hiring a third-party financial institution, Common Capital, to serve as financial adviser to the loan program as well as legal counsel for loan closings. The application was also finalized and released, and has resulted in three immediate applications, all of which are currently in review. We expect the first loans to close prior to the end of 2017.

Transformative Development Initiative District

The Transformative Development Initiative (TDI) District formation came to fruition after planning efforts stemming from a 2012 natural gas explosion on Worthington Street in the city's Metro Center neighborhood. The explosion, which occurred in a nightclub building that was leveled, severely damaged several buildings immediately surrounding and damaged dozens of buildings throughout the neighborhood. In subsequent months, the city worked with local non-profit partner DevelopSpringfield to develop a comprehensive redevelopment strategy for the neighborhood. The strategy focused on innovation – from technology to housing to food – and became the basis to the city's application to MassDevelopment to be one of their ten inaugural "TDI" Districts, which is a hyper focused planning initiative targeting resources and staff to a very small geographic area. Springfield was not only named one of the first ten cities with a district, but one of just three that was awarded a full-time "fellow" to advance our plan. The district has already seen some significant investments including the redevelopment of a formerly underperforming 265-unit apartment complex into "Silverbrick Lofts"; the construction of the Springfield Innovation Center by DevelopSpringfield, and MassDevelopment's purchase of a troubled nightclub to be repurposed as a positive anchor in the heart of the district. The district itself is centered around Stearns Square at Bridge and Worthington Streets, and reaches out to Main Street and Chestnut Street in the city's downtown. The focus on the neighborhood is also key because of the significant investments surrounding the district – a \$950 million MGM Springfield project to the south; a \$90 million Union Station renovation immediately to the north, and a new \$7 million Dr. Seuss museum to the East. Both Union Station and the Dr. Seuss museum opened in the summer of 2017, with MGM Springfield expected to open in late summer, 2018.

South End Revitalization

The City continued to carry out revitalization of this extremely low-income neighborhood, implementing plans initiated in 2008. Significant accomplishments include: redevelopment of 22 multi-family historic buildings, which provide affordable housing and have been brought under single ownership and enhanced with on-site management; expansion and redevelopment of Emerson Wight Park; extensive street-scaping and paving on Main Street and Dwight Street Extension; removal of numerous blighted buildings; facade and small business grants to Main Street storefront businesses; construction of a new community center, which will be completed fall 2017; and planning for traffic circulation improvements, which will be completed in 2018. The City and the Springfield Housing Authority partnered to receive a Choice Neighborhoods Planning Grant, which sponsored community engagement and planning that resulted in a comprehensive Neighborhood Transformation Plan. The Plan called for sale of the Marble Street Apartments to the City so that the land can be used for neighborhood improvements, while, under a HUD-approved plan, tenants have received section 8 voucher assistance to relocate; the relocation is taking place in 2017. The City applied for and was awarded a 3-year Byrne Criminal Justice Initiative grant which has supported a major public safety initiative in this neighborhood.

Central Street Corridor

Springfield is working with numerous partners toward the rebuilding of the Central Street Corridor, which experienced extensive destruction in the 2011 tornado. Prior to the tornado, HOME funds supported development of six new single-family homes on this street, fortunately still standing post-tornado, and the City had demolished an abandoned and blighted nursing home. Since the disaster, the City has funded construction--most ongoing--of 10 more single-family homes on Central or adjacent streets. The Springfield Housing Authority has built 4 handicap-accessible public housing homes (in two duplexes). The City has completed construction of a new state-of-the-art elementary school, and has undertaken planning for a major roadway project that will connect and align Central and Hickory Streets. The City has demolished multiple storm-damaged and blighted buildings.

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2013 42	4754	William M	5992259	4	Completed	12/14/2015	2015	M16MNC250209 EN	EN	\$2,500.00
								Activity Total		\$2,500.00
2013 42	4755	Tyrick R	5992269	5	Completed	12/14/2015	2015	M16MNC250209 EN	EN	\$2,500.00
								Activity Total		\$2,500.00
2015 42	4753	Jose L	6006409	8	Completed	1/30/2017	2016	M16MNC250209 EN	EN	\$2,500.00
								Activity Total		\$2,500.00
2015 42	4764	Jason G	6006409	10	Completed	1/30/2017	2016	M16MNC250209 EN	EN	\$2,500.00
								Activity Total		\$2,500.00
2015 42	4765	Anthony T	6006409	3	Completed	1/30/2017	2016	M16MNC250209 PI	PI	\$2,500.00
								Activity Total		\$2,500.00
2015 42	4766	Isaac C	6006409	5	Completed	1/30/2017	2016	M16MNC250209 EN	EN	\$2,210.30
								Activity Total		\$2,210.30
2015 42	4767	Tyone M	6006409	6	Completed	1/30/2017	2016	M16MNC250209 PI	PI	\$289.70
								Activity Total		\$2,500.00
2016 42	4766	Amadea O	6006409	9	Completed	1/30/2017	2016	M16MNC250209 SN	SN	\$2,500.00
								Activity Total		\$2,500.00

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Program Year/ Project	IDIS Act ID	Activity Name	Fiscal Year	Voucher Number	Line Item	Voucher Status	LOCCS Send Date	Grant Year	Grant Number	Fund Type	Drawn Amount
2015	42	First Time Homebuyer and Program Delivery	4/7/6	6006405	4	Completed	1/30/2017	2016	M16MCC50209 PI	PI	\$2,500.00
Activity Total											
\$2,500.00											
2015	42	First Time Homebuyer and Program Delivery	4/7/4	6023916	32	Completed	3/23/2017	2016	M16MCC50209 EN	EN	\$2,500.00
Activity Total											
\$2,500.00											
2015	42	First Time Homebuyer and Program Delivery	4/7/3	6023916	25	Completed	3/23/2017	2016	M16MCC50209 EN	EN	\$2,500.00
Activity Total											
\$2,500.00											
2016	42	First Time Homebuyer and Program Delivery	4/7/6	6023916	34	Completed	3/23/2017	2016	M16MCC50209 EN	EN	\$2,500.00
Activity Total											
\$2,500.00											
2016	42	First Time Homebuyer and Program Delivery	4/7/7	6023916	29	Completed	3/23/2017	2016	M16MCC50209 EN	EN	\$2,500.00
Activity Total											
\$2,500.00											
2016	42	First Time Homebuyer and Program Delivery	4/7/8	6023916	30	Completed	3/23/2017	2016	M16MCC50209 EN	EN	\$2,500.00
Activity Total											
\$2,500.00											
2016	42	First Time Homebuyer and Program Delivery	4/7/9	6023916	28	Completed	3/23/2017	2016	M16MCC50209 EN	EN	\$2,500.00
Activity Total											
\$2,500.00											
2016	42	First Time Homebuyer and Program Delivery	4/8/9	6023916	22	Completed	3/23/2017	2016	M16MCC50209 EN	EN	\$2,500.00

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Program Year/ Project	IDIS Act ID	Activity Name	Prior Year	Voucher Number	Uns Voucher Term	Status	LOCCS Start Date	Grant Year	Grant Number	Fund Type	Drawn Amount
2016 42	4782	Volenda R		6023916	24	Completed	3/23/2017	2016	M16MCE50209 E3		\$2,500.00
		Activity Total									\$2,500.00
2016 42	4782	Cinicus O		6023916	26	Completed	3/23/2017	2016	M16MCE50209 ER		\$2,500.00
		Activity Total									\$2,500.00
2016 42	4783	Rosemarie M		6023916	27	Completed	3/23/2017	2016	M16MCE50209 EW		\$2,500.00
		Activity Total									\$2,500.00
2016 42	4783	Benjamin R		6023916	20	Completed	3/23/2017	2016	M16MCE50209 EW		\$2,500.00
		Activity Total									\$2,500.00
2016 42	4793	All Y		6023916	21	Completed	3/23/2017	2016	M16MCE50209 EW		\$2,500.00
		Activity Total									\$2,500.00
2016 42	4787	Faleide G		6023916	19	Completed	3/23/2017	2016	M16MCE50209 EN		\$2,500.00
		Activity Total									\$2,500.00
2016 42	4783	Uzanne C & Judith A		6023916	23	Completed	3/23/2017	2016	M16MCE50209 EN		\$2,500.00
		Activity Total									\$2,500.00
2016 42	4789	Jan Q		6048815	25	Completed	6/8/2017	2016	M16MCE50209 EN		\$2,500.00
		Activity Total									\$2,500.00

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Program Year/Project	Activity Description	TDIS Act ID	Activity Name	Year	Voucher Number	Line Item	Voucher Status	LOCES Send Date	Grant Year	Grant Number	Fund Type	Drawn Amount
2015 42	First Time Homebuyer and Program Delivery	4791	Rebecca P		6048815	29	Completed	6/8/2017	2016	M16MNC250209	EN	\$2,500.00
										Activity Total		\$2,500.00
2015 42	First Time Homebuyer and Program Delivery	4792	Luis C		6048815	30	Completed	6/8/2017	2016	M16MNC250209	EN	\$2,500.00
										Activity Total		\$2,500.00
2016 42	First Time Homebuyer and Program Delivery	4793	José S & (Inactive)		6048815	26	Completed	6/8/2017	2016	M16MNC250209	EN	\$362.84
					6048815	27	Completed	6/8/2017	2016	M16MNC250209	PI	\$2,137.15
										Activity Total		\$2,500.00
2016 42	First Time Homebuyer and Program Delivery	4794	Jessica D		6048815	28	Completed	6/8/2017	2016	M16MNC250209	PI	\$2,500.00
										Activity Total		\$2,500.00
2016 42	First Time Homebuyer and Program Delivery	4795	Alexa A		6048815	31	Completed	6/8/2017	2016	M16MNC250209	PI	\$2,500.00
										Activity Total		\$2,500.00
2016 42	First Time Homebuyer and Program Delivery	4808	Tia I		6048815	32	Completed	6/8/2017	2016	M16MNC250209	PI	\$2,500.00
										Activity Total		\$2,500.00
2016 42	First Time Homebuyer and Program Delivery	4810	Uminada R		6048815	18	Completed	6/8/2017	2016	M16MNC250209	PI	\$2,500.00
										Activity Total		\$2,500.00
2016 42	First Time Homebuyer and Program Delivery	4811	Sherran T		6048815	19	Completed	6/8/2017	2016	M16MNC250209	PI	\$2,500.00
										Activity Total		\$2,500.00

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Program Year/ Project	UDIS ACTID	Activity Name	Fiscal Year/ Year Number	Line Item	Vendor Status	UDIS Send Date	Grant Year	Grant Number	Fund Type	Drawn Amount
2016 42	4812	Wifredo A	6046815	17	Completed	6/8/2017	2016	M16MC250209	PI	\$7,500.00
								Activity Total		\$7,500.00
2016 42	4814	Lisa F	6046815	22	Completed	6/8/2017	2016	M16MC250209	PI	\$2,500.00
								Activity Total		\$2,500.00
2016 42	4815	Rosa G	6046815	23	Completed	6/8/2017	2016	M16MC250209	PI	\$2,500.00
								Activity Total		\$2,500.00
2016 42	4816	Carmon B	6046815	20	Completed	6/8/2017	2016	M16MC250209	PI	\$2,500.00
								Activity Total		\$2,500.00
2016 42	4817	Quastawn B	6046815	24	Completed	6/8/2017	2016	M16MC250209	PI	\$2,500.00
								Activity Total		\$2,500.00
2016 42	4818	Roselyn M	6248915	21	Completed	6/8/2017	2016	M16MC250209	PI	\$2,500.00
								Activity Total		\$2,500.00
2016 42	4820	Klino G	6051683	15	Completed	6/23/2017	2016	M16MC250209	ER	\$2,500.00
								Activity Total		\$2,500.00
2016 42	4821	Nohebe R	6051683	9	Completed	6/23/2017	2016	M16MC250209	ER	\$2,500.00
								Activity Total		\$2,500.00
2016 42	4822	Ruby G								

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Program Year/ Project	LOIS Act ID	Activity Name	Fiscal Year	Voucher Year Number	Line Item	Status	LOIS Send Date	Grant Year	Grant Number	Fund Type	Drawn Amount
2015	42	First Time Homebuyer and Program Delivery	4823	6053983	10	Completed	6/23/2017	2016	M16MCC350209	EN	\$2,500.00
											\$2,500.00
											Activity Total
2015	42	First Time Homebuyer and Program Delivery	4825	6053983	17	Completed	6/23/2017	2016	M16MCC350209	EN	\$2,500.00
											\$2,500.00
											Activity Total
2016	42	First Time Homebuyer and Program Delivery	4826	6053983	4	Completed	6/23/2017	2016	M16MCC350209	EN	\$2,500.00
											\$2,500.00
											Activity Total
2016	42	First Time Homebuyer and Program Delivery	4827	6053983	18	Completed	6/23/2017	2016	M16MCC350209	EN	\$2,500.00
											\$2,500.00
											Activity Total
2016	42	First Time Homebuyer and Program Delivery	4828	6053983	8	Completed	6/23/2017	2016	M16MCC350209	EN	\$2,500.00
											\$2,500.00
											Activity Total
2016	42	First Time Homebuyer and Program Delivery	4829	6053983	13	Completed	6/23/2017	2016	M16MCC350209	EN	\$2,500.00
											\$2,500.00
											Activity Total
2016	42	First Time Homebuyer and Program Delivery	4830	6053983	19	Completed	6/23/2017	2016	M16MCC350209	EN	\$2,500.00
											\$2,500.00
											Activity Total
2015	42	First Time Homebuyer and Program Delivery	4831	6053983	1	Completed	6/23/2017	2016	M16MCC350209	EN	\$2,500.00
											\$2,500.00

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Program Year/ Project	IDIS ACTID	Activity Name	Fiscal Year/ Year	Voucher Number	Line Item	Voucher Status	LOCCS Sched Date	Grant Year	Grant Number	Fund Type	Drawn Amount
2016 42	4932	First Time Homebuyer and Program Delivery	2016	6053983	3	Completed	6/23/2017	2016	M16MCE50209	EN	\$2,500.00
											Activity Total
											\$2,500.00
2016 42	4933	First Time Homebuyer and Program Delivery	2016	6053983	5	Completed	6/23/2017	2016	M16MCE50209	EN	\$2,500.00
											Activity Total
											\$2,500.00
2016 42	4934	First Time Homebuyer and Program Delivery	2016	6053983	14	Completed	6/23/2017	2016	M16MCE50209	EN	\$2,500.00
											Activity Total
											\$2,500.00
2016 42	4935	First Time Homebuyer and Program Delivery	2016	6053983	5	Completed	6/23/2017	2016	M16MCE50209	EN	\$2,500.00
											Activity Total
											\$2,500.00
2016 42	4936	First Time Homebuyer and Program Delivery	2016	6053983	2	Completed	6/23/2017	2016	M16MCE50209	EN	\$2,500.00
											Activity Total
											\$2,500.00
2016 42	4937	First Time Homebuyer and Program Delivery	2016	6053983	11	Completed	6/23/2017	2016	M16MCE50209	EN	\$2,500.00
											Activity Total
											\$2,500.00
2016 42	4938	First Time Homebuyer and Program Delivery	2016	6053983	7	Completed	6/23/2017	2016	M16MCE50209	EN	\$2,500.00
											Activity Total
											\$2,500.00
2016 42	4941	First Time Homebuyer and Program Delivery	2016	6053983	16	Completed	6/23/2017	2016	M16MCE50209	EN	\$2,500.00
											Activity Total
											\$2,500.00

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Program Year/ Project	IDIS Act ID	Activity Name	FY09 Year	Vendor Number	Line Item	YOCYR Status	LOCCS Start Date	Grant Year	Grant Number	Fund Type	Drawn Amount
2016	54	HOME Planning & Administration	4/23	5982254	22	Completed	1/15/2016	2016	M16MCC250209	AD	\$23,868.51
				5992271	2	Completed	12/14/2016	2016	M16MCC250209	AD	\$5,954.29
				6006409	1	Completed	7/30/2017	2016	M16MCC250209	AD	\$10,379.40
				6006409	2	Completed	5/30/2017	2016	M16MCC250209	PA	\$630.35
				6023915	1	Completed	3/23/2017	2016	M16MCC250209	AD	\$10,457.14
				6023915	2	Completed	3/23/2017	2016	M16MCC250209	PA	\$510.17
				6046815	1	Completed	6/8/2017	2016	M16MCC250209	AD	\$8,118.72
				6046815	2	Completed	6/8/2017	2016	M16MCC250209	PA	\$7,579.42
				6053983	2C	Completed	6/23/2017	2016	M16MCC250209	AD	\$12,643.26
				6055705	1	Completed	6/28/2017	2016	M16MCC250209	AD	\$30,898.31
				6055908	1	Completed	7/31/2017	2016	M16MCC250209	AD	\$5,671.23
											\$117,710.64
											Project Total
2016	55	Retail Production	4/24	6555980	1	Completed	6/23/2017	2015	M15MCC250209	EN	\$416,000.00
											Activity Total
											\$416,000.00
											Project Total
											45,000.00
2016	57	Tenant Based Rental Assistance (TBRA)	4/24	5963254	7	Completed	1/15/2016	2016	M16MCC250209	EN	\$2,720.00
				5994249	12	Completed	12/14/2016	2016	M16MCC250209	EN	\$524.00
				6006409	11	Completed	1/30/2017	2016	M16MCC250209	EN	\$1,084.00
				6023916	3	Completed	3/23/2017	2016	M16MCC250209	FM	\$1,084.00
				6046815	16	Completed	6/8/2017	2016	M16MCC250209	EN	\$1,084.00
											Activity Total
											\$6,504.00
2015	57	Tenant Based Rental Assistance (TBRA)	4/23								
											Project Total
											4723
											Glory Realty, Inc

Program Year/ Project	IDIS Act ID	Activity Name	Prior Year	Voucher Number	Line Item	Voucher Status	LOCES Start Date	Grant Year	Grant Number	Fund Type	Drawn Amount				
2016 57	4721	Tenant Based Rental Assistance (TBRA)	Hourdi/Carrion	5983254	19	Completed	11/15/2016	2016	M16MCC250209	EN	\$3,375.00				
				5992269	23	Completed	12/14/2016	2016	M16MCC250209	EN	\$675.00				
				6006409	27	Completed	2/3/2017	2016	M16MCC250209	EN	\$1,350.00				
				6023916	16	Completed	3/23/2017	2016	M16MCC250209	EN	\$1,350.00				
				6048815	7	Completed	6/8/2017	2016	M16MCC250209	EN	\$1,350.00				
				Activity Total											
				\$8,100.00											
				2016 57	4722	Tenant Based Rental Assistance (TBRA)	Pavelli/Vicari	5983254	11	Completed	11/15/2016	2016	M16MCC250209	EN	\$4,240.00
								5992269	16	Completed	12/14/2016	2016	M16MCC250209	EN	\$948.00
								6006409	15	Completed	1/30/2017	2016	M16MCC250209	EN	\$1,696.00
								6023916	9	Completed	3/23/2017	2016	M16MCC250209	EN	\$1,696.00
								6048815	12	Completed	6/8/2017	2016	M16MCC250209	EN	\$1,696.00
Activity Total															
\$10,176.00															
2016 57	4723	Tenant Based Rental Assistance (TBRA)	Grassetti/Hughes					5983254	9	Completed	11/15/2016	2016	M16MCC250209	EN	\$3,570.00
								5992269	14	Completed	12/14/2016	2016	M16MCC250209	EN	\$74.00
								6006409	13	Completed	1/30/2017	2016	M16MCC250209	EN	\$1,428.00
								6023916	7	Completed	3/23/2017	2016	M16MCC250209	EN	\$1,428.00
								6048815	24	Completed	6/8/2017	2016	M16MCC250209	EN	\$1,428.00
				Activity Total											
				\$6,924.00											

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2015 57	4724	Gonzalez/Bernie		5993254	8	Completed	11/15/2016	2016	M16MCC50209	EN	\$2,430.00
				5992289	3	Completed	12/14/2016	2016	M16MCC50209	EN	\$486.00
				6006409	2	Completed	1/06/2017	2016	M16MCC50209	EN	\$972.00
				6023916	6	Completed	3/23/2017	2016	M16MCC50209	EN	\$9,710.00
				6049835	5	Completed	6/8/2017	2016	M16MCC50209	EN	\$872.00
											\$5,832.00
											Activity Total
2015 57	4725	Hunter Place/Smith		5983254	5	Completed	11/15/2016	2016	M16MCC50209	EN	\$96.53
				5983254	6	Completed	11/15/2016	2016	M16MCC50209	EN	\$2,823.47
				5992289	20	Completed	12/14/2016	2016	M16MCC50209	EN	\$576.00
				6006409	9	Completed	1/06/2017	2016	M16MCC50209	EN	\$1,152.00
				6023916	33	Completed	3/23/2017	2016	M16MCC50209	EN	\$376.00
											\$5,184.00
											Activity Total
2015 57	4726	Hill House/Sands		5983254	13	Completed	11/15/2016	2016	M16MCC50209	EN	\$3,000.00
				5992289	18	Completed	12/14/2016	2016	M16MCC50209	EN	\$600.00
				6006409	17	Completed	1/06/2017	2016	M16MCC50209	EN	\$1,200.00
				6023916	11	Completed	3/23/2017	2016	M16MCC50209	EN	\$1,200.00
				6049835	10	Completed	6/8/2017	2016	M16MCC50209	EN	\$1,200.00
											\$7,200.00
											Activity Total
2015 57	4727	Chyna/Harlowe		5993254	18	Completed	11/15/2016	2016	M16MCC50209	EN	\$3,795.00
				5992289	22	Completed	12/14/2016	2016	M16MCC50209	EN	\$799.00
				6006409	25	Completed	1/30/2017	2016	M16MCC50209	EN	\$1,518.00
				6023916	15	Completed	3/23/2017	2016	M16MCC50209	EN	\$1,518.00
				6059984	1	Completed	6/28/2017	2016	M16MCC50209	EN	\$1,518.00

1015 - R005
 U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Technical Disbursement and Information System
 Drawdown Report by Project and Activity
 SPRINGFIELD, MA

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Program Year/ Project	IDIS ACT. Activity Name	Char Year	Voucher Number	Use Year	Status	LOCOS Send Date	Grant Year	Grant Number	Fund Type	Disbur Amount
2015 57	Tenant Based Rental Assistance (TRBA)	4/20	Passwest/Torres							
			5982254	20	Completed	11/15/2016	2016	M16MCC250209	EN	\$3,125.00
			5992269	24	Completed	12/14/2016	2016	M16MCC250209	EN	\$625.00
			6006409	23	Completed	1/31/2017	2015	M16MCC250209	EN	\$1,250.00
			6023916	17	Completed	3/23/2017	2016	M16MCC250209	EN	\$625.00
			6048815	6	Completed	6/8/2017	2016	M16MCC250209	EN	\$625.00
										Activity Total
										\$6,250.00
2015 57	Tenant Based Rental Assistance (TRBA)	4/20	36 Massachusetts/Gazton							
			5982254	14	Completed	1/15/2016	2016	M16MCC250209	EN	\$3,125.00
			5992269	19	Completed	12/14/2016	2016	M16MCC250209	EN	\$625.00
			6006409	18	Completed	1/31/2017	2016	M16MCC250209	EN	\$1,250.00
			6023916	12	Completed	3/23/2017	2016	M16MCC250209	EN	\$1,250.00
			6048815	9	Completed	6/8/2017	2016	M16MCC250209	EN	\$1,250.00
										Activity Total
										\$7,512.00
2015 57	Tenant Based Rental Assistance (TRBA)	4/20	Choccoliers							
			5982254	17	Completed	1/15/2016	2016	M16MCC250209	EN	\$3,575.00
			5992269	21	Completed	12/14/2016	2016	M16MCC250209	EN	\$1,175.00
			6006409	20	Completed	1/30/2017	2016	M16MCC250209	EN	\$2,350.00
			6023916	14	Completed	3/23/2017	2016	M16MCC250209	EN	\$2,350.00
			6048815	8	Completed	6/8/2017	2016	M16MCC250209	EN	\$2,350.00
										Activity Total
										\$11,800.00
2015 57	Tenant Based Rental Assistance (TRBA)	4/20	Beacon Olympic/Suzor							
			5982254	21	Completed	1/15/2016	2016	M16MCC250209	EN	\$1,895.00
			5992269	25	Completed	12/14/2016	2016	M16MCC250209	EN	\$729.00
			6006409	24	Completed	1/30/2017	2016	M16MCC250209	EN	\$729.00
			6023916	18	Completed	3/23/2017	2016	M16MCC250209	EN	\$729.00

IDIS - PROS
 U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 Payment Report by Project and Activity
 SPRINGFIELD, MA

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Program Year/ Project	IDIS Act. ID	Activity Name	Fiscal Year	Voucher Number	Line Item	Vendor Status	LOCCS Send Date	Grant Year	Grant Number	Fund Type	Drawn Amount
2015 57	4734	Mental Health Association		5992269	25	Completed	12/14/2016	2016	M16MNC2502C9	EN	\$49,115.00
				6023916	3	Completed	3/23/2017	2016	M16MNC2502C9	EN	\$22,395.48
				6023916	4	Completed	3/23/2017	2016	M16MNC2502C9	PI	\$7,561.67
				6046815	3	Completed	6/8/2017	2016	M16MNC2502C9	PI	\$49,350.64
				6072016	1	Completed	8/24/2017	2016	M16MNC2502C9	EN	\$27,502.00
									Activity Total		\$155,714.64
2015 57	4309	Ernia V		5992269	12	Completed	11/25/2016	2016	M16MNC2502C9	EN	\$3,875.00
				5992269	17	Completed	11/24/2016	2016	M16MNC2502C9	EN	\$775.00
				6006409	15	Completed	1/30/2017	2016	M16MNC2502C9	EN	\$1,550.00
				6023916	10	Completed	3/23/2017	2016	M16MNC2502C9	EN	\$1,550.00
				6046815	11	Completed	5/6/2017	2016	M16MNC2502C9	EN	\$1,550.00
									Activity Total		\$9,300.00
2016 37	4313	Brookedge LLC		6046815	4	Completed	6/8/2017	2016	M16MNC2502C9	EN	\$1,368.00
									Activity Total		\$1,368.00
									Project Total		264,651.64
									Program Year 2016 Total		609,862.28

HOPWA CAPER



Housing Opportunities for Persons with AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (**CAPER**) Measuring Performance Outcomes

Revised 1/22/15

OMB Number 2506-0133 (Expiration Date: 12/31/2017)

Overview. The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, Subrecipient organizations, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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Continued Use Periods. Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department's standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor/subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran's Information, and Children's Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee's State or Local HUD Field Office, and to the HOPWA Program Office: at HOPWA@hud.gov. Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.

Record Keeping. Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For

example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	1
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	1
5.	Adjustment for duplication (subtract)	1
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	1

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Central Contractor Registration (CCR): The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

Chronically Homeless Person: An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2))This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered "grassroots."

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household." When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Information Services: Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

related to the housing project but not staff costs for delivering services.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See *the Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.*

Master Leasing: Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly

Outcome: The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor or Subrecipient. Assistance is tied directly to the properties and is not portable or transferable.

Project Sponsor Organizations: Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding	Grantee	Project Sponsor
-------------	---------	-----------------

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Subrecipient Organization: Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding Grantee Project Sponsor Subrecipient

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

Veteran: A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

OMB Number 2506-0133 (Expiration Date: 10/31/2017)

Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definition section for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.

1. Grantee Information

HUD Grant Number MAH16-F002	Operating Year for this report <i>From (mm/dd/yy) 7/1/16 To (mm/dd/yy) 6/30/17</i>
Grantee Name City of Springfield, MA	
Business Address	1600 East Columbus Avenue

City, County, State, Zip	Springfield	Hampden	MA	01103
Employer Identification Number (EIN) or Tax Identification Number (TIN)	EIN# 04-6001415			
DUN & Bradstreet Number (DUNS):	DUNS# 073011921	Central Contractor Registration (CCR): Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number: 4ALL7		
*Congressional District of Grantee's Business Address	MA 1 st Congressional District			
*Congressional District of Primary Service Area(s)	MA 1 st Congressional District			
*City(ies) and County(ies) of Primary Service Area(s)	Springfield	Counties: Hampden		
Organization's Website Address	Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.			
Springfieldcityhall.com				

* Service delivery area information only needed for program activities being directly carried out by the grantee.

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name HIV /AIDS Law Consortium		Parent Company Name, if applicable Center for Human Development		
Name and Title of Contact at Project Sponsor Agency	Rose Maloof, Program Director			
Email Address	Malooof@chd.org			
Business Address	425 Union Street, Suite. 6			
City, County, State, Zip,	West Springfield, Hampden County, MA 01089			
Phone Number (with area code)	413-306-5164			
Employer Identification Number (EIN) or Tax Identification Number (TIN)	04-250-3926		Fax Number (with area code) 413-306-5783	
DUN & Bradstreet Number (DUNs):	09-919-5695			
Congressional District of Project Sponsor's Business Address	MA 1 st Congressional District			
Congressional District(s) of Primary Service				

Area(s)	MA 1 st Congressional District	
City(ies) <u>and</u> County(ies) of Primary Service Area(s)	Cities: Springfield, Holyoke, Northampton	Counties: Hampden, Hampshire counties
Total HOPWA contract amount for this Organization for the operating year	\$50,000.00	
Organization's Website Address	www.chd.org	
<p>Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Please check if yes and a faith-based organization. <input type="checkbox"/></p> <p>Please check if yes and a grassroots organization. <input type="checkbox"/></p>	<p>Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>If yes, explain in the narrative section how this list is administered.</p>	

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name A Positive Place		Parent Company Name, if applicable Cooley Dickinson Hospital		
Name and Title of Contact at Project Sponsor Agency		Betsy Shally-Jensen, Director		
Email Address		betsy_shally-jensen@cooley-dickinson.org		
Business Address		P.O. Box 1299		
City, County, State, Zip,		Northampton, Hampshire County, MA 01061		
Phone Number (with area code)		413-586-8288		
Employer Identification Number (EIN) or Tax Identification Number (TIN)		22-2617 175		Fax Number (with area code) 413-586-8996
DUN & Bradstreet Number (DUNs):		06-699-1605		
Congressional District of Project Sponsor's Business Address		MA 2 nd Congressional District		
Congressional District(s) of Primary Service Area(s)		MA 2 nd Congressional District		

City(ies) and County(ies) of Primary Service Area(s)	Cities: Northampton, Holyoke, Springfield, Greenfield, Turners Falls, Ware, Amherst, Chicopee, Easthampton	Counties: Hampden, Franklin and Hampshire counties
Total HOPWA contract amount for this Organization for the operating year	\$122,659.00	
Organization's Website Address	Cooley-dickinson.org/main/hiv-aids.aspx	
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.	

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name New North Citizens Council		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency	Maria Perez, Coordinator			
Email Address	mperez@newnorthcc.org			
Business Address	2383 Main Street			
City, County, State, Zip,	Springfield, Hampden County, MA 01107			
Phone Number (with area code)	413-746-4885			
Employer Identification Number (EIN) or Tax Identification Number (TIN)	23-7371934	Fax Number (with area code) 413-737-2321		
DUN & Bradstreet Number (DUNs):	937637718			
Congressional District of Project Sponsor's Business Address	MA 1 st Congressional District			
Congressional District(s) of Primary Service Area(s)	MA 1 st Congressional District			
City(ies) and County(ies) of Primary Service	Cities	Counties:		

Area(s)	Springfield	Hampden
Total HOPWA contract amount for this Organization for the operating year	\$119,467.00	
Organization's Website Address	Newnorthcc.org	
<p>Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Please check if yes and a faith-based organization. <input type="checkbox"/></p> <p>Please check if yes and a grassroots organization. <input type="checkbox"/></p>	<p>Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>If yes, explain in the narrative section how this list is administered.</p>	

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name River Valley Counseling Center		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency	Marianne Polmatier, Director			
Email Address	Polmatier_marianne@holyokehealth.com			
Business Address	120 Maple Street, Suite 301			
City, County, State, Zip,	Springfield, Hampden, MA 01103			
Phone Number (with area code)	413-737-2437			
Employer Identification Number (EIN) or Tax Identification Number (TIN)	04-2174657	Fax Number (with area code) (413)737-3521		
DUN & Bradstreet Number (DUNs):	602809733			
Congressional District of Project Sponsor's Business Address	MA 1 st Congressional District			
Congressional District(s) of Primary Service Area(s)	MA 1 st Congressional District			
City(ies) <u>and</u> County(ies) of Primary Service	Cities:		Counties:	

Area(s)	Springfield, Holyoke, Chicopee	Hampden
Total HOPWA contract amount for this Organization for the operating year	\$153,461.00	
Organization's Website Address	rvcc-inc.org	
<p>Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Please check if yes and a faith-based organization. <input type="checkbox"/></p> <p>Please check if yes and a grassroots organization. <input type="checkbox"/></p>	<p>Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>If yes, explain in the narrative section how this list is administered.</p>	

3. Administrative Subrecipient Information – N/A

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists project sponsors to carry out their administrative services but no services directly to client households. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Subrecipient Name				Parent Company Name, if applicable
Name and Title of Contact at Subrecipient				
Email Address				
Business Address				
City, State, Zip, County				
Phone Number (with area code)				Fax Number (include area code)
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNs):				
North American Industry Classification System (NAICS) Code				
Congressional District of Subrecipient's Business Address				
Congressional District of Primary Service Area				
City (ies) and County (ies) of Primary Service Area(s)	Cities:		Counties:	
Total HOPWA Subcontract Amount of this Organization for the operating year				

4. Program Subrecipient Information – N/A

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

Note: Please see the definition of a subrecipient for more information.

Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.

Sub-recipient Name		Parent Company Name, if applicable		
Name <u>and</u> Title of Contact at Contractor/ Sub-contractor Agency				
Email Address				
Business Address				
City, County, State, Zip				
Phone Number (included area code)			Fax Number (include area code)	
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNs)				
North American Industry Classification System (NAICS) Code				
Congressional District of the Sub-recipient's Business Address				
Congressional District(s) of Primary Service Area				
City(ies) <u>and</u> County(ies) of Primary Service	Cities:		Counties:	

Area		
Total HOPWA Subcontract Amount of this Organization for the operating year		

5. Grantee Narrative and Performance Assessment a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. **Note:** *Text fields are expandable.*

The City of Springfield administers the **HOPWA** program for the three-county area of Hampden, Hampshire and Franklin Counties. In this area, the most recent available surveillance data (December 2014) indicates that there are 1994 reported cases of persons living with HIV/AIDS: 74 in Franklin County, 164 in Hampshire County, and 1756 in Hampden County. Hampden County includes the cities of Springfield, Holyoke and Chicopee.

In FY16-17, recipients of HOPWA funding served 249 unduplicated households. The funded agencies provided Tenant-Based Rental Assistance (TBRA) to 24 households; Short-Term Rent, Mortgage, and Utility (STRMU) Assistance to 65 households; housing information to 50 households and advocacy/legal services and supportive services to 110 households.

The following agencies received HOPWA funds:

- 1. River Valley Counseling Center** is a licensed mental health clinic and a multi-service agency. The mission of RVCC's HIV/AIDS Project is to support those affected by HIV/AIDS and to promote community awareness of the issues surrounding HIV/AIDS. The Project provides: information, assessment and referral services; comprehensive, bi-lingual/bi-cultural case management for medical and social services; HIV/AIDS consumer support groups; access to the Positive Alliance Network, a program designed specifically to provide mental health services to minorities affected by HIV/AIDS; an array of housing services for HIV+ individuals; and membership to a drop-in center that provides a safe environment for HIV+ individuals to use a computer lab, access video and book libraries, prepare snacks and enjoy healthy congregate lunch meals. RVCC primarily serves residents of Hampden County, and has offices in Springfield and Holyoke. RVCC uses HOPWA funds to provide supportive housing and housing information services and advocacy. RVCC supplements its HOPWA funding with CoC program funds, which enable the organization to provide housing subsidies and supportive services to an additional 30 households. The contact person for RVCC's HOPWA program is Marianne Polmetier.
- 2. Center for Human Development HIV/AIDS Law Consortium.** The mission of the HIV/AIDS Law Consortium of Western Massachusetts is to assure access to legal services for individuals and families affected by HIV/AIDS. The Law Consortium is committed to educating both the legal community and the community at large about the legal rights of individuals and families affected by HIV/AIDS and advocating on behalf of people affected by HIV/AIDS to assure that their legal and human rights are preserved. The Law Consortium uses HOPWA funds to provide legal assistance in housing cases, and small group workshops to clients and case managers regarding housing issues. The contact person for the Law Consortium is Rose Maloof.
- 3. New North Citizen's Council** provides advocacy, public and human services to Hampden County residents with an emphasis on Hispanic/Latino community for the purpose of enhancing the preservation and support of the family resulting in the improvement of quality of life. NNCC uses HOPWA funds to provide shallow subsidies, rental assistance and supportive services to individuals who are HIV positive and are homeless or at risk of becoming homeless. NNCC's contact program for the HOPWA program is Maria Perez.
- 4. Cooley Dickinson Hospital's A Positive Place** provides case management and comprehensive and confidential support services to people living with HIV infection, their families, and friends using a harm reduction philosophy. A Positive Place primarily serves residents of Hampshire County, and uses HOPWA funds to provide tenant-based rental assistance and support services. The contact person for A Positive Place is Betsy Shally-Jensen.

The agency keeps a “wait list” If no subsidies are available (and upon receipt of adequate documentation), each applicant will be placed on the HOPWA wait list. The Cooley Dickinson Hospital Supportive Housing Program wait list will be organized and prioritized according to the following priorities:

- 1) Chronically homeless, a homeless person with a disability homeless for a year or more OR has had at least 4 episodes of homelessness with the past 3 years.
- 2) Homeless, living on the streets or in a shelter, or substandard Housing
- 3) Homeless, living in an institution and facing imminent displacement
- 4) Doubled-up with friends or family
- 5) Paying more than 75% of income towards rent
- 6) Paying more than 50% of income towards rent
- 7) All things being equal, those clients identified with the highest acuity per agency ranking standard, will be prioritized.

The wait list will be reviewed as new clients apply throughout the year and up-dated annually through a notification letter. Sent to all clients on the wait list, this notification letter will require clients to submit updated eligibility information within 21 days of receipt of the letter in order to remain on the wait list.

b. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

2. Outcomes Assessed. Assess your program’s success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

In FY16-17, recipients of HOPWA funding served 249 unduplicated households. The funded agencies provided Tenant-Based Rental Assistance (TBRA) to 24 households; Short-Term Rent, Mortgage, and Utility (STRMU) Assistance to 65 households; housing information to 50 households and supportive services to 110 households.

B. Annual Performance Under the Action Plan

- 1. Outputs Reported.** During this year, HOPWA grantees assisted 249 unduplicated households. Over 40% of funds are used to provide Tenant-Based Rental Assistance or Short Term Rental, Utilities or Mortgage Assistance. The rest of the funds go to supportive services, including Rental Start-Up (first, last and security deposit) and legal assistance related to housing issues.

Grantees operate in all three counties that are covered by the HOPWA grant (Franklin, Hampshire and Hampden). The highest rates of HIV are in the cities located in Hampden County (Springfield, Holyoke and Chicopee). As a result, three of the four grantees are located within in Hampden County. Grantees are chosen through a competitive request for proposals process.

- 2. Outcomes Assessed.** The programs that provide TBRA and STRMU achieve housing stability of 100%. These programs also report success in improving access to health care.
- 3. Coordination.** Grantees are all members of the Springfield Hampden County Continuum of Care, and one of them is also a member of the Three-County (Franklin, Hampshire, Berkshire) Continuum of Care. The CoCs have independently created ten-year plans to end homelessness, and the two CoCs have come together to create a regional effort to end homelessness.

The HOPWA program and our other programs have not done any housing development. As part of our regional effort, we are encouraging housing developers and service providers to work together to create permanent supportive housing.

- 4. Technical Assistance.** Technical assistance is welcome at any time to update staff on any changes within the program.

c. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program’s ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program’s ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

The highest rates of HIV in our area are due to injection drug use, so persons with HIV tend to have barriers to obtaining stable housing that are linked to substance abuse—poor credit, negative landlord histories, and criminal records. Our subgrantees are trained to advocate for clients to help them overcome these barriers, and have also established strong relationships with individual landlords, which enable them to find units for hard-to-house households.

While it is possible to find affordable units in Hampden County, it can be harder to locate affordable units in Hampshire County, where there are numerous colleges, causing high demand for rental units. Our Hampshire County subgrantee is especially proactive in conducting housing search.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

The greatest challenge has been the scarcity of resources to serve the eligible population. Eligible participants generally have very low incomes, and require subsidized housing, as well as support services. There is not a sufficient supply of affordable housing. There are also insufficient supportive services for this population. Coupling these factors with an increased life expectancy results in a tremendously burdened system. These factors make it critically important that HOPWA providers continue to partner with mainstream providers of housing and health services.

<input type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input type="checkbox"/> Housing Availability	<input type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input checked="" type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<hr/>			
<input checked="" type="checkbox"/> Housing Affordability	<input type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

None.

d. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.

Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

Note: In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

1. Planning Estimate of Area's Unmet Needs for HOPWA-Eligible Households

1. Total number of households that have unmet housing subsidy assistance need.	1881
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2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	
a. Tenant-Based Rental Assistance (TBRA)	752
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	1129
<ul style="list-style-type: none"> • Assistance with rental costs • Assistance with mortgage payments • Assistance with utility costs. 	799
	80
	250
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

<input checked="" type="checkbox"/> = Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
<input checked="" type="checkbox"/> = Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
<input checked="" type="checkbox"/> = Data from client information provided in Homeless Management Information Systems (HMIS)
<input checked="" type="checkbox"/> = Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
<input checked="" type="checkbox"/> = Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance	5,402.00	Housing Support And Advoc.	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Ryan White-Other	34,980.00	MCM and Peer Services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Housing Choice Voucher Program			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance

			<input type="checkbox"/> Other Support
Other Public: MDPH	154,904.00	Case management Medical Transportation	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: DPH	118,715.00	Legal services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: MDPH Dental	2,000.00	Dental	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Private Funding			
Grants	2,550.00	Case management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
In-kind Resources	154,000.00	Case management Legal services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Private:	500.00	Fundraising for emer. Food vouchers + OTC	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor/Subrecipient (Agency) Cash	2,065.00	Legal services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord			
TOTAL (Sum of all Rows)	475,116.00		

2. Program Income and Resident Rent Payments N/A

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	
2.	Resident Rent Payments made directly to HOPWA Program	
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	

2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	
3.	Total Program Income Expended (Sum of Rows 1 and 2)	

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

1. HOPWA Performance Planned Goal and Actual Outputs

HOPWA Performance Planned Goal and Actual		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
HOPWA Housing Subsidy Assistance		[1] Output: Households				[2] Output: Funding	
1.	Tenant-Based Rental Assistance	23	24			152,289.00	150,231.00
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units (H Served)						
2b.	Transitional/Short-term Facilities: Received Operating Subsidies/Leased units (Households Served)						
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
4.	Short-Term Rent, Mortgage and Utility Assistance	50	65			41,600.00	41,600.00
5.	Permanent Housing Placement Services						
6.	Adjustments for duplication (subtract)						
7.	Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)	73	89			193,889.00	191,831.00
Housing Development (Construction and Stewardship of facility based housing)		[1] Output: Housing Units				[2] Output: Funding	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)						
9.	Stewardship Units subject to 3 or 10 year use agreements						

10.	Total Housing Developed (Sum of Rows 8 & 9)						
Supportive Services		[1] Output Households			[2] Output: Funding		
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance	73	89			110,404.00	100,799.00
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.	96	110			90,157.00	90,157.00
12.	Adjustment for duplication (subtract)	73	89				
13.	Total Supportive Services (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)	96	110			200,561.00	190,956.00
Housing Information Services		[1] Output Households			[2] Output: Funding		
14.	Housing Information Services	50	50			20,000.00	16,933.00
15.	Total Housing Information Services	50	50			20,000.00	16,933.00
Grant Administration and Other Activities		[1] Output Households			[2] Output: Funding		
16.	Resource Identification to establish, coordinate and develop housing assistance						
17.	Technical Assistance (if approved in grant agreement)						
18.	Grantee Administration (maximum 3% of total HOPWA grant)					13,606.00	13,606.00
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					31,187.00	29,404.00
20.	Total Grant Administration and Other Activities (Sum of Rows 16 – 19)					44,793.00	43,010.00
Total Expended					[2] Outputs: HOPWA Funds Expended		
					Budget		Actual
21.	Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)					459,243.00	442,730.00

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management	89	100,799.00
4.	Child care and other child services		
5.	Education		
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310		
8.	Legal services	69	46,500.00
9.	Life skills management (outside of case management)		
10.	Meals/nutritional services		
11.	Mental health services		
12.	Outreach	41	43,657.00
13.	Transportation		
14.	Other Activity (if approved in grant agreement). Specify:		

15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)	199	
16.	Adjustment for Duplication (subtract)	89	
17.	TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	110	190,956.00

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

Data Check: The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.

Data Check: The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of <u>Households</u> Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	65	41,600
b.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with mortgage costs ONLY.	3	2,272
c.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with mortgage and utility costs.	0	0
d.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with rental costs ONLY.	60	38,686
e.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with rental and utility costs.	0	0

f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.	2	642
g.	Direct program delivery costs (e.g., program operations staff time)		0

End of PART 3

Part 4: Summary of Performance Outcomes

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

Data Check: The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].

Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	24	23	1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy	1	
			6 Institution		<i>Unstable Arrangements</i>
			7 Jail/Prison		
			8 Disconnected/Unknown		
			9 Death		<i>Life Event</i>
Permanent Supportive Housing Facilities/ Units			1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		<i>Unstable Arrangements</i>
			7 Jail/Prison		
			8 Disconnected/Unknown		
			9 Death		<i>Life Event</i>

B. Transitional Housing Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units			1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		<i>Unstable Arrangements</i>
			8 Disconnected/unknown		
			9 Death		<i>Life Event</i>
B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months					

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness

(Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient's best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

Data Check: The sum of Column [2] should equal the number of households reported in Column [1].

Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
65	Maintain Private Housing <u>without</u> subsidy <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	56	<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>	0	
	Other HOPWA Housing Subsidy Assistance	0	
	Other Housing Subsidy (PH)	9	
	Institution <i>(e.g. residential and long-term care)</i>	0	
	Likely that additional STRMU is needed to maintain current housing arrangements	0	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term <i>(e.g. temporary or transitional arrangement)</i>	0	
	Temporary/Non-Permanent Housing arrangement <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>	0	
	Emergency Shelter/street	0	<i>Unstable Arrangements</i>
	Jail/Prison	0	
	Disconnected	0	
	Death	0	<i>Life Event</i>
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).			4
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			0

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

Total Number of Households	
1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following <u>HOPWA-funded</u> services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	89
b. Case Management	89
c. Adjustment for duplication (subtraction)	89
d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)	89
2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following <u>HOPWA-funded</u> service:	
a. HOPWA Case Management	
b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance	

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	89		<i>Support for Stable Housing</i>
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client’s individual service plan (may include leveraged services such as Ryan White Medical Case Management)	89		<i>Access to Support</i>
3. Had contact with a primary health care provider consistent with the schedule specified in client’s individual service plan	89		<i>Access to Health Care</i>
4. Accessed and maintained medical insurance/assistance	89		<i>Access to Health Care</i>
5. Successfully accessed or maintained qualification for sources of income	89		<i>Sources of Income</i>

Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or use local program name • MEDICARE Health Insurance 	<ul style="list-style-type: none"> • Veterans Affairs Medical Services • AIDS Drug Assistance Program (ADAP) • State Children’s Health Insurance Program (SCHIP), or use local program 	<ul style="list-style-type: none"> • Ryan White-funded Medical or Dental Assistance
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Program, or use local program name	name
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Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • Earned Income • Veteran’s Pension • Unemployment Insurance • Pension from Former Job • Supplemental Security Income (SSI) 	<ul style="list-style-type: none"> • Child Support • Social Security Disability Income (SSDI) • Alimony or other Spousal Support • Veteran’s Disability Payment • Retirement Income from Social Security • Worker’s Compensation 	<ul style="list-style-type: none"> • General Assistance (GA), or use local program name • Private Disability Insurance • Temporary Assistance for Needy Families (TANF) • Other Income Sources
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1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.
Note: This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.
Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	7	

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6)	Temporary Housing (2)	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)				
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
Total Permanent HOPWA Housing Subsidy Assistance				
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)				
Total HOPWA Housing Subsidy Assistance				

Background on HOPWA Housing Stability Codes

Stable Permanent Housing/Ongoing Participation

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number

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of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

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PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of Stewardship Units.

1. General information

HUD Grant Number(s)	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year

Total Stewardship Units (subject to 3- or 10- year use periods)		
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3. Details of Project Site

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> <i>Yes, protect information; do not list</i> <input type="checkbox"/> <i>Not confidential; information can be made available to the public</i>
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility:	Signature & Date (mm/dd/yy)
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i>	Contact Phone (with area code)

End of PART 6

Part 7: Summary Overview of Grant Activities

A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)

Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance

a. Total HOPWA Eligible Individuals Living with HIV/AIDS

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	89

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Data Check: *The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.*

Category		Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	28
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	0
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	0
4.	Transitional housing for homeless persons	0
5.	Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	0
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	0
7.	Psychiatric hospital or other psychiatric facility	0
8.	Substance abuse treatment facility or detox center	0
9.	Hospital (non-psychiatric facility)	0
10.	Foster care home or foster care group home	0
11.	Jail, prison or juvenile detention facility	0
12.	Rented room, apartment, or house	61
13.	House you own	0
14.	Staying or living in someone else's (family and friends) room, apartment, or house	0
15.	Hotel or motel paid for without emergency shelter voucher	0
16.	Other	0
17.	Don't Know or Refused	0

18.	TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	89
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c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	0	0

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 7A, Section 1, Chart a.*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

Note: See definition of HOPWA Eligible Individual

Note: See definition of Transgender.

Note: See definition of Beneficiaries.

Data Check: The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
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1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	89
2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	7
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	20
4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	116

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18	0	0	0	0	0
2.	18 to 30 years	8	9	0	0	17
3.	31 to 50 years	22	19	1	0	42
4.	51 years and Older	19	11	0	0	30
5.	Subtotal (Sum of Rows 1-4)	49	39	1	0	89
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18	3	2	0	0	5
7.	18 to 30 years	6	2	0	0	8
8.	31 to 50 years	5	4	0	0	9
9.	51 years and Older	2	3	0	0	5
10.	Subtotal (Sum of	16	11	0	0	27

	Rows 6-9)					
Total Beneficiaries (Chart a, Row 4)						
11.	TOTAL (Sum of Rows 5 & 10)	65	50	1	0	116

c. Race and Ethnicity*

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native	0	0	0	0
2.	Asian	0	0	0	0
3.	Black/African American	17	0	4	0
4.	Native Hawaiian/Other Pacific Islander	0	0	0	0
5.	White	27	20	9	8
6.	American Indian/Alaskan Native & White	0	0	0	0
7.	Asian & White	0	0	0	0
8.	Black/African American & White	10	0	6	0
9.	American Indian/Alaskan Native & Black/African American	0	0	0	0
10.	Other Multi-Racial	35	18	8	8
11.	Column Totals (Sum of Rows 1-10)	89	38	27	16
Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.					

*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

Section 3. Households

Household Area Median Income

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	86
2.	31-50% of area median income (very low)	3
3.	51-80% of area median income (low)	0
4.	Total (Sum of Rows 1-3)	89

Part 7: Summary Overview of Grant Activities

B. Facility-Based Housing Assistance

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

1. Project Sponsor/Subrecipient Agency Name (Required)

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2. Capital Development

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: *If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."*

Type of Development this operating year	HOPWA Funds Expended this operating year <i>(if applicable)</i>	Non-HOPWA funds Expended <i>(if applicable)</i>	Name of Facility:
<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:		Date started: Date Completed:
c.	Operation dates:		Date residents began to occupy: <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = Total Units =
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?		
h.	Is the address of the project site confidential?		<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired <u>with or without rehab</u>				
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: *The number units may not equal the total number of households served.*

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Type of housing facility operated by the project sponsor/subrecipient		Total Number of <u>Units</u> in use during the Operating Year Categorized by the Number of Bedrooms per Units				
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm
a.	Single room occupancy dwelling					
b.	Community residence					
c.	Project-based rental assistance units or leased units					
d.	Other housing facility <u>Specify:</u>					

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>		
e.	Adjustment to eliminate duplication (subtract)		
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)		