

CITY OF SPRINGFIELD, MA

THE CDBG-R SUBSTANTIAL AMENDMENT

Jurisdiction(s): City of Springfield, MA	CDBG-R Contact Person: Cathy Buono Office of Community Development Address: 36 Court Street, Room 101 Springfield, MA 01103 Telephone: (413)787-6050 Fax: (413)787-7740 Email: ckelly@springfieldcityhall.com
Jurisdiction Web Address: www.springfieldcityhall.com • (URL where CDBG-R Substantial Amendment materials are posted)	

On February 17, 2009, President Obama signed into law the American Recovery and Reinvestment Act (ARRA). ARRA will provide the City of Springfield with a total of \$1,111,756. in a one-time allocation of Community Development Block Grant Recovery (CDBG-R) funds. The Department of Housing and Urban Development requires that the City of Springfield prepare and submit a Substantial Amendment to its 2008 Consolidated Action Plan (Plan) that outlines how the City will administer these funds. This document presents the details of the proposed Substantial Amendment to the Plan.

CDBG-R

CDBG-R funds enable local governments to undertake a wide range of activities to stimulate the economy through measures that modernize the Nation's infrastructure, improve energy efficiency, and expand educational opportunities and access to health care. As specified under the Recovery Act, the City shall give priority for use of funds to projects and programs that can award contracts based on bids within 120 days of the grant agreements.

A. PROPOSED CDBG-R ACTIVITIES SPREADSHEET

As required by HUD, the City of Springfield has attached a summary of activities which describes how it proposes to utilize CDBG-R funds in a manner that will maximize job creation and economic benefit. The attached spreadsheet includes the program activity/name, a description of the activity, CDBG-R dollar amount budgeted, eligibility category, national objective citation, additional Recovery Act funds for the activity received from other programs, and total activity budget for funding that is being made available under the CDBG-R program. This spreadsheet will also be utilized by the City to submit progress reports as required by HUD under the CDBG-R program. (page 8)

The following is a summary of each of the available funding activities that will be made offered under the CDBG-R

B.1 CDBG-R INFORMATION BY ACTIVITY

Activity Name: Planning and Administration

Activity Narrative: The City of Springfield will use up to 10 percent of its CDBG-R funds for Planning and Administration activities. These expenses include program design, environmental review, contracting, monitoring, auditing, financial management, reporting, and compliance activities. These activities are essential not only to ensure regulatory compliance but to achieve efficient and effective funding allocation and expenditure in accordance with CDBG-R and ARRA requirements.

Jobs Created: N/A

Additional Activity Information: N/A

Responsible Organization: This activity will be carried out by the City of Springfield Office of Community Development. Contact information: Cathy Buono, Director of Administration and Finance, Office of Community Development, 36 Court Street-Room 101, Springfield, MA 01103. Phone (413) 787-6050 Fax (413) 787-7740 Email: ckelly@springfieldcityhall.com

B.2 CDBG-R INFORMATION BY ACTIVITY

Activity Name: Public Service – Culinary Arts Job Training and Meals Program

Activity Narrative: The City of Springfield will provide funding for a Culinary Arts Job Training program being offered by the Massachusetts Career Development Institute that offers job training and employment opportunities to low income residents of the City of Springfield. The residents live in low income CDBG target neighborhoods as described in the CDBG-R Program DRAFT Substantial Amendment to the Action Plan 08-09. The participants of the program are 16 years of age or older who are currently out of school or high school drop outs.

The Culinary Arts Job Training Program will provide hands-on experience to participants in the preparation of three nutritious meals daily for the homeless or the at-risk homeless residing at the Worthington Street Shelter located in a CDBG target neighborhood. In addition to hands-on training, each participant will receive an individualized educational plan (IEP) consisting of 10-15 hours per week of classroom instruction. Upon completion of the Culinary Arts Job Training program, the participants will receive a Certificate of Occupational and Technical Training Achievements in Culinary Arts, licensed by the Massachusetts Department of Elementary and Secondary Education. This activity will address the Recovery priorities of creating jobs and assisting those most impacted by the recession.

Jobs Created: This activity will create three permanent jobs resulting from the placement of to the program beneficiaries; more importantly it will give the students an opportunity to achieve one or more of the following outcomes: a school grade level increase, development of work skills, access to obtain a GED, or an opportunity to transition to a community or four year college.

Additional Activity Information: N/A

Responsible Organization: This activity will be carried out by Massachusetts Career Development Institute, 140 Wilbraham Road, Springfield, MA Contact information: Cathy Buono, Director of Administration and Finance, Office of Community Development, 36 Court Street-Room 101, Springfield, MA 01103. Phone (413) 787-6050 Fax (413) 787-7740 Email: ckelly@springfieldcityhall.com

B.3 CDBG-R INFORMATION BY ACTIVITY

Activity Name: Special Economic Development – Job Training Program

Activity Narrative: The City of Springfield will issue a Request for Proposals (RFP) for a Job Training Program within one month of the submitted CDBG-R Program DRAFT Substantial Amendment. The recipients will be required to provide documentation to the City of Springfield that the jobs created will be in fields that will ensure permanent employment opportunities for low and moderate income program participants. As Springfield currently maintains an unemployment rate of 8.2 percent, the program will enhance economic opportunities for those who have been impacted by the economic recession, providing them with long term economic benefits for job opportunities. Training programs will be evaluated based on the likely creation or retention of permanent jobs as well as potential economic opportunities that are made available to individuals.

This activity is designed to provide job training, education and placement and related support services including: outreach efforts to market available forms of assistance, screening of program applicants, reviewing applications for assistance, preparing necessary agreements, managing assisted activities, and the referral and placement of applicants in employment opportunities.

Jobs Created: This activity will provide job opportunities for at least 10 low income persons and equip the program beneficiaries with the skills necessary to obtain and retain jobs as they enter the workforce.

Additional Activity Information: N/A

Responsible Organization: This activity will be carried out by the City of Springfield, Office of Community Development, Room 101, 36 Court Street, Springfield, MA. Contact information: Cathy Buono, Director of Administration and Finance, Office of Community Development, 36 Court Street - Room 101, Springfield, MA 01103. Phone (413) 787-6050 Fax (413) 787-7740 Email: ckelly@springfieldcityhall.com

B.4 CDBG-R INFORMATION BY ACTIVITY

Activity Name: Special Economic Development – Acquisition and Rehabilitation for Non-Profit Organizations

Activity Narrative: The City of Springfield will make available \$300,000 of CDBG-R funds through an RFP process. These CDBG-R funds will act as an incentive to economic development by assisting in the acquisition and rehabilitation of commercial properties and by stimulating job creation. Green development and emerging technologies, will be given priority by the City as will job creation activities by non-profits who demonstrate energy conservation, smart growth, and green technology in both their mission and in the proposed use of CDBG-R funding.

This funding will help preserve jobs and livelihoods for a classification of workers adversely impacted by the recent economic downturn, construction workers and laborers. Organizations receiving funding will also be required to create low income jobs for individuals directly affected by the economic downturn in accordance with the priority of the Recovery Act.

Jobs Created: This activity will provide job opportunities for at least 10 low income persons

Additional Activity Information: N/A

Responsible Organization: This activity will be carried out by the City of Springfield Office of Planning and Economic Development. Contact information: Cathy Buono, Director of Administration and Finance, Office of Community Development, 36 Court Street-Room 101, Springfield, MA 01103. Phone (413) 787-6050 Fax (413) 787-7740 Email: ckelly@springfieldcityhall.com

B.5 CDBG-R INFORMATION BY ACTIVITY

Activity Name: Public Improvements/Infrastructure – Streets and Sidewalks

Activity Narrative: The City of Springfield will use \$310,581 of its CDBG-R funds to continue the implementation of the multi-year South End Revitalization Project. Funds will be used for streetscape improvements throughout the South End NRSA Neighborhood. The combined CDBG-R funds, FY08-09 CDBG funds, proposed FY09-10 CDBG, and City Bond funds will provide a budget of \$3,700,000 for South End improvements. The overall scope of project improvements includes streets, sidewalks, decorative crosswalks, curbing, landscaping, lighting, and traffic signals. This phase of a four phase project is expected to go out to bid on June 15, 2009. This funding would be used to target neighborhood sidewalks as part of the larger redevelopment and revitalization project. The existing condition of infrastructure in the South End creates significant access, property, safety, and quality of life issues for its residents. The South End neighborhood has a median household income of \$16,678, one of the lowest in the Commonwealth-the South End Revitalization Project program will work to improve conditions for those residents. These funds will help to continue the revitalization efforts as well as focus on the Recovery Act priorities of job creation and infrastructure.

Jobs Created: An estimated 50-100 construction jobs are expected to be created within the South End Infrastructure project.

Additional Activity Information: The project will result in the reconstruction of approximately 50,000 SY of roadway pavement, 5,000 SY of concrete walkway, 8,000 LF of new or reset granite curbing and additional improvements including drainage, landscape, pedestrian, and new lighting.

Responsible Organization: This activity will be carried out by the City of Springfield Department of Public Works through a qualified contractor. Contact information: Cathy Buono, Director of Administration and Finance, Office of Community Development, 36 Court Street-Room 101, Springfield, MA 01103. Phone (413) 787-6050 Fax (413) 787-7740 Email: ckelly@springfieldcityhall.com

C. PUBLIC COMMENT

The City of Springfield's Citizen Participation Plan for the HUD Consolidated Plan requires a thirty-day public comment period and a public hearing for substantial amendments. To aid grantees in meeting the submission deadline, the CDBG-R notice reduced the 30-day requirement to seven (7) days. The City held a seven day review and comment period from May 28, 2009 to June 3, 2009.

Copies of the Draft Substantial Amendment are available at Office of Community Development, 36 Court Street, Office of Housing, 1600 Columbus Avenue, Department of Health and Human Services, 95 State Street, Office of Planning and Economic Development, 70 Tapley Street, and on the City of Springfield website www.springfieldcityhall.com/cos/Services/dept_cd.htm. Written comments should be submitted to Cathy Buono, Office of Community Development, 36 Court Street, Room 101, Springfield, MA 01103 no later than 4:00pm on June 3, 2009.

Public comments received to the proposed CDBG-R Substantial Amendment:

CDBG-R
Activity Data Spreadsheet

Jurisdiction/Grantee Name: City of Springfield, MA		CDBG-R Fomula Grant Amount: \$1,111,756.00				Date: June 5, 2009	
Activity Name	Activity Description	Eligibility (Regulatory or HCDA Citation)	National Objective Citation	CDBG-R Project Budget (\$)	Additional Recovery Funds (\$)	Other Leveraged Funding (\$)	Total Activity Budget
Planning and Administration	Funding for the planning and execution of the CDBG-R program, including general management and oversight, fiscal management and compliance.	N/A	N/A	\$ 111,175.00	\$ -	\$ -	\$ 111,175.00
Public Service-Culinary Arts Job Training and Meals Program	Funding will be used for a culinary arts training program which in turn provides meals to the Homeless Shelter. This program is a job training program that leads to employment and career opportunities while providing nutritious meals to at risk persons who are homeless or at risk of being homeless.	570.201 (e)	LMC	\$ 90,000.00	\$ -	\$ 80,020.00	\$ 170,020.00
Special Economic Development-Job Training Program	This activity will be designed to provide job training and placement and any other employment support services.	570.203 (c)	LMJ	\$ 300,000.00	\$ -	\$ -	\$ 300,000.00
Special Economic Development-Acquisition & Rehabilitation for Non-Profits	Funds will be made available to Non-Profits for acquisition and rehabilitation with an emphasis on energy efficiency and green technology.	570.203 (a)	LMJ	\$ 300,000.00	\$ -	\$ -	\$ 300,000.00
Public Improvements/Infrastructure-Streets & Sidewalks	This activity will continue the implementation of the multi-year South End Revitalization Project. Funds will be used for sidewalks throughout the South End NRSA Neighborhood.	570.201(c)	LMA	\$ 310,581.00	\$ -	\$ -	\$ 310,581.00
				\$1,111,756.00			\$ 1,111,756.00

**APPLICATION FOR
FEDERAL ASSISTANCE**

OMB Approved No. 3076-0006

Version 7/03

1. TYPE OF SUBMISSION: Application		2. DATE SUBMITTED June 5, 2009	Applicant Identifier
<input type="checkbox"/> Construction	<input type="checkbox"/> Construction	3. DATE RECEIVED BY STATE	State Application Identifier
<input checked="" type="checkbox"/> Non-Construction	<input type="checkbox"/> Non-Construction	4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier

5. APPLICANT INFORMATION

Legal Name: City of Springfield	Organizational Unit: Department: Office of Community Development
Organizational DUNS: 073011921	Division: Development Division
Address: Street: 36 Court Street	Name and telephone number of person to be contacted on matters involving this application (give area code) Prefix: Ms. First Name: Cathy
City: Springfield	Middle Name K.
County: Hampden	Last Name Buono
State: Massachusetts Zip Code 01103	Suffix:
Country: U.S.A.	Email: ckelly@springfieldcityhall.com

6. EMPLOYER IDENTIFICATION NUMBER (EIN):
04-6001415

Phone Number (give area code) (413) 787-6050	Fax Number (give area code) (413) 787-7740
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8. TYPE OF APPLICATION:
 New Continuation Revision
 If Revision, enter appropriate letter(s) in box(es)
 (See back of form for description of letters.)
 Other (specify)

7. TYPE OF APPLICANT: (See back of form for Application Types)
 C. Municipal
 Other (specify)

9. NAME OF FEDERAL AGENCY:
 U.S. Department of Housing and Urban Development

10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:
 14-253
 TITLE (Name of Program):
 Labor Management Cooperation Program

11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:
 Community Development Block Grant - Recovery (CDBG-R) funding to stimulate the economy through infrastructure projects, job training and special economic development activities.

12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.):
 City of Springfield

13. PROPOSED PROJECT
 Start Date: Ending Date:

14. CONGRESSIONAL DISTRICTS OF:
 a. Applicant: 2nd Massachusetts b. Project: 2nd Massachusetts

15. ESTIMATED FUNDING:

a. Federal	\$	1,111,756.00
b. Applicant	\$.00
c. State	\$.00
d. Local	\$.00
e. Other	\$.00
f. Program Income	\$.00
g. TOTAL	\$.00

16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?
 a. Yes. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE:
 b. No. PROGRAM IS NOT COVERED BY E. O. 12372
 OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW

17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?
 Yes If "Yes" attach an explanation. No

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

a. Authorized Representative

Prefix Mr.	First Name Domenic	Middle Name J.
Last Name Sarno	Suffix	
b. Title Mayor	c. Telephone Number (give area code) (413) 787-6100	
d. Signature of Authorized Representative <i>Domenic J. Sarno</i>	e. Date Signed 5/26/09	

INSTRUCTIONS FOR THE SF-424

Public reporting burden for this collection of information is estimated to average 45 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0043), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

This is a standard form used by applicants as a required face sheet for pre-applications and applications submitted for Federal assistance. It will be used by Federal agencies to obtain applicant certification that States which have established a review and comment procedure in response to Executive Order 12372 and have selected the program to be included in their process, have been given an opportunity to review the applicant's submission.

Item:	Entry:	Item:	Entry:																
1.	Select Type of Submission.	11.	Enter a brief descriptive title of the project. If more than one program is involved, you should append an explanation on a separate sheet. If appropriate (e.g., construction or real property projects), attach a map showing project location. For preapplications, use a separate sheet to provide a summary description of this project.																
2.	Date application submitted to Federal agency (or State if applicable) and applicant's control number (if applicable).	12.	List only the largest political entities affected (e.g., State, counties, cities).																
3.	State use only (if applicable).	13.	Enter the proposed start date and end date of the project.																
4.	Enter Date Received by Federal Agency Federal identifier number: If this application is a continuation or revision to an existing award, enter the present Federal Identifier number. If for a new project, leave blank.	14.	List the applicant's Congressional District and any District(s) affected by the program or project																
5.	Enter legal name of applicant, name of primary organizational unit (including division, if applicable), which will undertake the assistance activity, enter the organization's DUNS number (received from Dun and Bradstreet), enter the complete address of the applicant (including country), and name, telephone number, e-mail and fax of the person to contact on matters related to this application.	15.	Amount requested or to be contributed during the first funding/budget period by each contributor. Value of in kind contributions should be included on appropriate lines as applicable. If the action will result in a dollar change to an existing award, indicate only the amount of the change. For decreases, enclose the amounts in parentheses. If both basic and supplemental amounts are included, show breakdown on an attached sheet. For multiple program funding, use totals and show breakdown using same categories as item 15.																
6.	Enter Employer Identification Number (EIN) as assigned by the Internal Revenue Service.	16.	Applicants should contact the State Single Point of Contact (SPOC) for Federal Executive Order 12372 to determine whether the application is subject to the State intergovernmental review process.																
7.	Select the appropriate letter in the space provided. <table style="width: 100%; border: none;"> <tr> <td style="width: 50%;">A. State</td> <td style="width: 50%;">I. State Controlled Institution of Higher Learning</td> </tr> <tr> <td>B. County</td> <td>J. Private University</td> </tr> <tr> <td>C. Municipal</td> <td>K. Indian Tribe</td> </tr> <tr> <td>D. Township</td> <td>L. Individual</td> </tr> <tr> <td>E. Interstate</td> <td>M. Profit Organization</td> </tr> <tr> <td>F. Intermunicipal</td> <td>N. Other (Specify)</td> </tr> <tr> <td>G. Special District</td> <td>O. Not for Profit Organization</td> </tr> <tr> <td>H. Independent School District</td> <td></td> </tr> </table>	A. State	I. State Controlled Institution of Higher Learning	B. County	J. Private University	C. Municipal	K. Indian Tribe	D. Township	L. Individual	E. Interstate	M. Profit Organization	F. Intermunicipal	N. Other (Specify)	G. Special District	O. Not for Profit Organization	H. Independent School District		17.	This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debt include delinquent audit disallowances, loans and taxes.
A. State	I. State Controlled Institution of Higher Learning																		
B. County	J. Private University																		
C. Municipal	K. Indian Tribe																		
D. Township	L. Individual																		
E. Interstate	M. Profit Organization																		
F. Intermunicipal	N. Other (Specify)																		
G. Special District	O. Not for Profit Organization																		
H. Independent School District																			
8.	Select the type from the following list: <ul style="list-style-type: none"> • "New" means a new assistance award. • "Continuation" means an extension for an additional funding/budget period for a project with a projected completion date. • "Revision" means any change in the Federal Government's financial obligation or contingent liability from an existing obligation. If a revision enter the appropriate letter: <table style="width: 100%; border: none;"> <tr> <td style="width: 50%;">A. Increase Award</td> <td style="width: 50%;">B. Decrease Award</td> </tr> <tr> <td>C. Increase Duration</td> <td>D. Decrease Duration</td> </tr> </table> 	A. Increase Award	B. Decrease Award	C. Increase Duration	D. Decrease Duration	18.	To be signed by the authorized representative of the applicant. A copy of the governing body's authorization for you to sign this application as official representative must be on file in the applicant's office. (Certain Federal agencies may require that this authorization be submitted as part of the application.)												
A. Increase Award	B. Decrease Award																		
C. Increase Duration	D. Decrease Duration																		
9.	Name of Federal agency from which assistance is being requested with this application.																		
10.	Use the Catalog of Federal Domestic Assistance number and title of the program under which assistance is requested.																		

OPTIONAL CERTIFICATION

CDBG-R

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

Where the urgent need is the current economic conditions, the grantee certifies that the activity is alleviating current economic conditions which pose a threat to the economic welfare of the community in which the activity is being carried out, the recipient is unable to finance the activity on its own, and other sources of funding are not available.

Domenic J. Sarno
Signature/Authorized Official

May 26, 2008
Date

Mayor
Title

CERTIFICATIONS

(1) **Affirmatively furthering fair housing.** The jurisdiction will affirmatively further fair housing, which means that it will conduct an analysis to identify impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting the analysis and actions in this regard.

(2) **Anti-displacement and relocation plan.** The jurisdiction will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (42 U.S.C. 4601), and implementing regulations at 49 CFR part 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under CDBG-R.

(3) **Drug Free Workplace.** The jurisdiction will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about –
 - (a) The dangers of drug abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
 - (a) Abide by the terms of the statement; and
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted:
 - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

(4) **Anti-lobbying.** To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

(5) **Authority of Jurisdiction.** The jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations and other program requirements.

(6) **Consistency with Plan.** The housing activities to be undertaken with CDBG-R funds are consistent with its consolidated plan.

(7) **Section 3.** The jurisdiction will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u), and implementing regulations at 24 CFR part 135.

(8) **Community development plan.** The jurisdiction certifies that the consolidated housing and community development plan identifies housing and community development needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the statute authorizing the CDBG program.

(9) **Following a plan.** The jurisdiction is following a current consolidated plan that has been approved by HUD.

(10) **Use of funds.** The jurisdiction has developed activities so as to give the maximum feasible priority to activities that will benefit low- and moderate-income families or aid in the prevention of slums or blight. Additional activities may be included that are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available to meet such needs. It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG-R funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);

2. Special Assessments. The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG-R funds by assessing any amount against properties owned and occupied by persons of low- and moderate-income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if CDBG-R funds are used to pay the proportion of a fee or assessment attributable to the capital costs of public improvements (assisted in part with CDBG-R funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds. The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG-R funds, unless CDBG-R funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds. In addition, with respect to properties owned and occupied by moderate-income (but not low-income) families, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds if the jurisdiction certifies that it lacks CDBG-R or CDBG funds to cover the assessment.

(11) **Excessive Force.** The jurisdiction certifies that it has adopted and is enforcing: (1) a policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and (2) a policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location that is the subject of such non-violent civil rights demonstrations within its jurisdiction.

(12) **Compliance with anti-discrimination laws.** The CDBG-R grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d), the Fair Housing Act (42 U.S.C. 3601-3619), and implementing regulations.

(13) **Compliance with lead-based paint procedures.** The activities concerning lead-based paint will comply with the requirements of part 35, subparts A, B, J, K, and R of this title.

(14) **Compliance with laws.** The jurisdiction will comply with applicable laws.

(15) **Compliance with ARRA.** The jurisdiction will comply with Title XII of Division A of the American Recovery and Reinvestment Act of 2009.

(16) **Project selection.** The jurisdiction will select projects to be funded, by giving priority to projects that can award contracts based on bids within 120 days from the date the funds are made available to the recipient, and that will ensure maximum job creation and economic benefit.

(17) **Timeliness of infrastructure investments.** When the jurisdiction uses CDBG-R funds for infrastructure investments, the grantee will give preference to quick-start and finish activities, including a goal to use at least 50 percent of the funds for activities within 120 days of enactment of the Recovery Act.

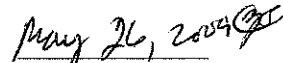
(18) **Buy American provision.** The jurisdiction will ensure that all iron, steel and manufactured goods used in construction, alteration, repair, or maintenance of a public building or public work project assisted with CDBG-R funds under the Recovery Act must be produced in the United States unless the Secretary finds that: (1) the requirement is inconsistent with public interest; (2) those goods are not reasonably available or produced in sufficient quantity in the U.S.; (3) or the use of the goods will increase the project cost by more than 25 percent.

(19) **Appropriate use of funds for infrastructure investments.** The Governor, mayor, or other chief executive, as appropriate certifies, that any infrastructure investments have received the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars. Alternatively, a grantee's chief elected official certifies that infrastructure investments will receive the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars.

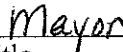
(20) **70% of CDBG-R for LMI.** The aggregate use of CDBG-R funds shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the grant is expended for activities that benefit such persons over the life of the CDBG-R grant.



Signature/Authorized Official



Date



Title

**PROYECTO DE PLAN DE ACCION DE LA ENMIENDA # 2
7/1/08-6/30/09**

**Community Development Block Grant Financiación en Virtud de la
Recuperación de América 2009 y la Ley de Reinversión**

HUD le concedio a la cuidada de Springfield un adicional \$ 1, 111, 756 en el marco del la recuperación de América 2009 y la Ley de Reinversión. El proyecto de plan delinearé la estrategia y los gastos previstos de la financiación CDBG-R. Estos fondo requieren una enmienda al plan annual de la accion 08-09.

El Redacte de Programa de Estabilization vas estar disponible para 7 dias un periodo de comentarios publicos desde Mayo 28, 2009 por Junio 3, 2009.

Copias del Proyecto de Plan estará disponible para revisión pública en los siguientes lugares:

- Oficina del Desarrollo del las Comunidad, 36 Court Street Salon 101
 - Oficina de la Vivienda y Vecindarios, 1600 E. Columbus Avenue, 1er piso
 - Departamento de Salud Y Servicios Humano, 95 State Street
 - Oficina de Planificación y Desarrollo Económico, 70 Tapley Street
- http://www.springfieldcityhall.com/sos/Services/dept_cd.htm

Personas interesadas son invitadas a someter comentarios por escrito concerniente Community Development Block Grant financiación en virtud de la recuperación de América 2009 y la Ley de Reinversión. La correspondencia debe ser dirigida al Cathy Buono en al Oficina del Desarrollo de las Comunidad, 36 Court Street, Springfield, Ma 01103 no mas tarde de las 4:00 el 3 de Junio, 2009.

Para mas informacion favor de llamar a la Oficina del Desarrollo de las Comunidad al 787-6050 o TTY 787-6641.

La ciudad de Springfield es una empresa con igualdad de oportunidades.

DRAFT ACTION PLAN AMENDMENT #2
7/1/08-6/30/09
Community Development Block Grant Program Funding Under the
American Recovery and Reinvestment Act of 2009

HUD awarded the City of Springfield an additional \$1,111,756 under the American Recovery and Reinvestment Act of 2009. The DRAFT Plan will outline the strategy and planned expenditures of the CDBG-R Funding. These funds require an amendment to the Annual Action Plan 08-09.

The DRAFT Amendment to the Plan will be available for a 7-day public comment period from May 28 – June 3.

Copies of the DRAFT Plan will be available for public review at the following locations:

- Office of Community Development, 36 Court Street, Room 101;
- Office of Housing, 1600 East Columbus Avenue, 1st Floor;
- Department of Health & Human Services, 95 State Street
- Office of Planning and Economic Development, 70 Tapley Street
- The City of Springfield website:

http://www.springfieldcityhall.com/cos/Services/dept_cd.htm

The City of Springfield seeks community input on the Community Development Block Grant Funding under the American Recovery and Reinvestment Act of 2009. Interested parties may submit written comments concerning this document. Submissions should be received by Cathy Buono no later than 4:00 pm on June 3, 2009. Please mail or deliver comments to the Office of Community Development, 36 Court Street, Room 101, Springfield , MA 01103

Please contact the Office of Community Development at 787-6050 or TTY 787-6641 for additional information.

The City of Springfield is an EEO.

(MAY 21)

**DRAFT ACTION PLAN
AMENDMENT #2
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Please contact the Office of Community Development at 787-6050 or TTY 787-6841 for additional information. The City of Springfield is an EEO.

**PROYECTO DE PLAN DE
ACCION DE LA ENMIENDA
2**

**7/1/08-6/30/09
Community Development
Block Grant Financiación**